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Association between A β and tau accumulations and their influence on clinical features in aging and Alzheimer disease spectrum brains: A $[^{11}C]$ **PBB3-PET** study

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Abstract **Introduction:** Amyloid- β (A β) and tau accumulations may occur independently and concurrently as exemplified by primary age-related tauopathy and Alzheimer disease (AD), respectively. Interactions between AB and tau accumulations and their influence on clinical features, however, are still unclear. Methods: Associations among clinical symptoms, gray-matter volume, regional tau, and Aβ deposition assessed by positron emission tomography with [¹¹C]pyridinyl-butadienyl-benzothiazole 3 and $[^{11}C]$ PiB were evaluated in 17 AD, 9 mild cognitive impairment due to AD, and 28 PiB(-)-cognitive healthy controls (HCs). **Results:** High tau burden was associated with aging and low-level education in PiB(-)-HC and AD-spectrum groups, and with high $A\beta$ burden and low-level education in all subjects. It was not Ab but tau accumulation that showed significant associations with cognitive performance even in PiB(-)-HC. **Discussion:** The present study indicated aging and low-level education after $A\beta$ would be enhancers for tau pathology, associated with neurodegeneration and cognitive impairment in healthy and diseased elderly individuals.

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Keywords: Tau; Amyloid; Brain atrophy; Cognitive decline; Biomarkers; Alzheimer disease; MCI; Preclinical; Dementia; Aging; Cognitive reserve; Positron emission tomography; [¹¹C]PBB3; [¹¹C]PiB

1. Background

Senile plaques and fibrillary tau inclusions are neuropathological hallmarks of Alzheimer disease (AD) [1]. As represented by the "amyloid cascade" hypothesis [2], one of the most accepted models of the pathogenesis of AD, it has been considered by many that amyloid- β (A β) plays key roles in disease initiation and progression and that it would be a promising target for therapy and imaging. In fact, $A\beta$ imaging by positron emission tomography (PET) has brought about major developments in dementia research and clinical trials. A β imaging enables us to make accurate differential diagnosis [3,4], predict disease progression [5], build a better understanding of the pathogenesis [6-9], and

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110 evaluate therapeutic efficacies in clinical trials [10,11]. It 111 remains unclear, however, whether AB pathology itself 112 shows neurotoxicity in vivo and influences clinical 113 features. Only a few studies have indicated significant 114 associations between AB burden and clinical features in 115 116 AD [12-14]. Furthermore, recent neuroimaging and 117 neuropathological studies have revealed that some 118 cognitively healthy elderly have abundant neocortical AB 119 [15–18]. 120

Tau pathology sometimes occurs concurrently (e.g., AD) 121 122 with, and sometimes independently (e.g., non-AD tauopa-123 thies such as progressive supranuclear palsy, corticobasal 124 degeneration, and primary age-related tauopathy (PART)) 125 of A β [19,20]. Accumulating evidence has suggested that 126 tau pathology has a close relation with neurodegeneration 127 128 equal to or rather than A β pathology [21–23]. To assess 129 the effect of tau pathology on neurodegeneration, previous 130 studies had to use animal models, estimate from 131 cerebrospinal fluid (CSF), or wait for postmortem autopsy. 132 These approaches, however, have several limitations. In 133 134 other words, specific differences, lack of information on 135 location, and temporal interval between autopsy and 136 clinical evaluation make it difficult to evaluate the effect 137 of tau pathology on the human brain environment. 138

There has been a conspicuous progress in the develop-139 140 ment of PET agents for tau pathology, as exemplified by 141 ¹⁸F]AV-1451 (also known as ¹⁸F]T807), ¹⁸F]THK-5117, 142 and [¹⁸F]THK-5351 [24–26], along with our tau-binding 143 ligand, $[^{11}C]$ pyridinyl-butadienyl-benzothiazole 3 ($[^{11}C]$ 144 PBB3) [27]. Previous studies showed that tau PET imaging 145 146 using these ligands were able to detect tau pathologies 147 with high sensitivity and specificity even at an early stage 148 and that those signals could reflect the disease severity 149 [24,25,27]. In our present study, we could evaluate tau 150 pathology as well as $A\beta$ with PET imaging quantitatively 151 152 with spatial information in vivo, together with clinical 153 evaluation.

154 To elucidate the interaction between AB and tau accumu-155 lations and its influence on clinical features such as brain at-156 rophy and cognitive decline, we performed a cross-sectional 157 PET study using [¹¹C]PBB3 and [¹¹C]PiB for tau and Aβ im-158 159 aging, respectively, in both cognitively healthy individuals 160 and patients with cognitive impairments. We also performed 161 voxel-based morphometry using three-dimensional 162 T1-weighted magnetic resonance imaging (MRI) and psy-163 164 chological batteries for assessing brain atrophy and cogni-165 tive decline. 166

2. Methods

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¹⁷⁰₁₇₁ 2.1. Participants

Clinically diagnosed patients with mild cognitive impairment (MCI) and AD were recruited from Chiba University
Hospital and affiliated hospitals between July, 2011 and
March, 2014. Clinical diagnoses of AD and MCI were based

on the National Institute of Neurological and Communicative Disease and Stroke/Alzheimer's Disease and Related Disorders Association criteria [28] and Petersen's criteria [29], respectively. Cognitive healthy controls (HCs), consisting of age-matched elderly (oHC) and young (yHC) subjects under or equal to 40 years old as a reference standard group in evaluations of tau and AB accumulations, without a history of neurologic and psychiatric disorders, were also recruited from the volunteer association of the National Institute of Radiological Sciences (NIRS), and were without abnormalities in physical and neurological examinations. Because we intended to focus on the aging and AD-spectrum group, PiB(-) patients with dementia, patients with possible and probable dementia with Lewy bodies, six PiB(-) patients with MCI whose pathological backgrounds were expected to be heterogeneous and were excluded from the assay group. Two PiB(+) HCs were also excluded from the further analyses because of its small sample size.

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Subjects underwent psychological evaluations including Mini–Mental State Examination (MMSE), clinical dementia rating (CDR) scale, and Wechsler memory scale revised logical memory II (WMSR LM-II) tests for assessing cognitive and functional impairment, Raven's colored progressive matrices (RCPMs) for assessing nonverbal cognitive impairment, and frontal assessment battery (FAB) for assessing frontal dysfunction.

Written informed consent was obtained from all participants and from spouses or other close family members when the participants were cognitively impaired. This study was approved by the institutional review board of NIRS, in accordance with the ethical code of NIRS and the ethical guidelines for clinical studies of the Ministry of Health, Labour and Welfare in Japan, as well as the Declaration of Helsinki. The study was registered with UMIN Clinical Trials Registry (UMIN-CTR; number 000009863).

2.2. PET data acquisition

¹¹C]PBB3 and ¹¹C]PiB were produced as previously reported [27,30,31]. PET images were acquired with a Siemens ECAT EXACT HR+ scanner (CTI PET Systems, Inc., Knoxville, TN) with an axial field of view of 155 mm, providing 63 contiguous 2.46-mm slices with 5.6-mm transaxial and 5.4-mm axial resolution. For tau and Aß imaging, 70-minute dynamic PET scans in threedimensional mode were performed after an intravenous injection of $[^{11}C]PBB3$ (432 ± 59 MBq, specific activity 98 \pm 51 GBq/µmol) or [¹¹C]PiB (381 \pm 29 MBq, specific activity 83 \pm 51 GBq/µmol), respectively, on the same day as MRI. [¹¹C]PBB3 was injected in a dimly lit condition to avoid its photoracemization [27,30]. Subjects were examined with their eyes closed and their ears unplugged in a quiet room, and their heads were restrained with a band extending across the forehead attached to the An examiner carefully monitored headrest. head Download English Version:

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