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CASE REPORT

Endoscopic balloon-based radiofrequency ablation for long-segment early esophageal squamous cell neoplasia

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Summary Esophageal cancer is a common and highly lethal disease. In the Asia-Pacific region, esophageal squamous cell neoplasias are the major forms of the disease. Recent advances in endoscopic therapy enable curative treatment of early esophageal squamous cell neoplasias, however, the technique is complicated and requires a high level of expertise, especially for those with long-segment lesions. Endoscopic radiofrequency ablation is a rapidly evolving treatment modality and has been shown to have good efficacy and safety for the treatment of dysplasia in cases of Barrett's esophagus. Theoretically, it can also be used to treat squamous dysplasia. We report a case of a 48-year-old man with an 8-cm-long circumferential squamous high-grade dysplasia over the esophagus (from 21 cm to 29 cm below the incisor) that was treated successfully and safely with balloon-based radiofrequency ablation. The procedure took only around 30 minutes to complete. There were no major adverse events during and after the procedure. In addition, we examined the histology of the esophageal coagulum, which showed an extensive cauterization effect with focal dysplasia within the ablated epithelium. Follow-up endoscopy at 1 month, 3 months, and 6 months showed no residual lesion, and biopsies also confirmed complete remission.

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Introduction

Esophageal cancer is a highly lethal disease, causing >400,000 deaths/y worldwide [1]. It ranks as the sixth most common cause of cancer death, and >70% of esophageal cancer cases occur in Asia [1]. In the Asia-Pacific region, esophageal squamous cell neoplasia (ESCN) is the major histological type of the disease [2]. Recent advances in image-enhanced endoscopy enable accurate diagnosis of early-stage ESCNs [3]. Endoscopic treatment serves as an excellent option with curative intent, because lesions of squamous high-grade dysplasia or carcinomas are limited to the epithelium or lamina propria with little risk of lymph node metastasis [4]. Recently, endoscopic submucosal dissection (ESD) is gaining attention as a treatment tool [4]. However, in order to acquire the necessary skill-set for good and safe application of ESD techniques, a significant learning curve is required [5]. Due to this limitation, this technique cannot be expanded worldwide and can only be carried out in some high-volume centers. In addition, esophageal stenosis is still a great concern, especially for long-segment lesions or lesions covering more than three-quarters of the circumference of the esophagus [6]. Endoscopic radiofrequency ablation (RFA) is a rapidly evolving treatment modality, and has been shown to have good efficacy and safety for the treatment of dysplasia in cases of Barrett's esophagus (BE) [7]. Theoretically, it can also be used to treat squamous dysplasia, however, previous reports are very rare [8,9]. We report a case with an 8-cm-long circumferential squamous high-grade dysplasia over the esophagus (from 21 cm to 29 cm below the incisor) that was treated successfully and safely with balloon-based RFA.

Case Report

A 48-year-old man with a social history significant for alcohol consumption, smoking, and betel nut chewing for several decades was referred for an esophagogastroduodenoscopic examination due to his acid regurgitation symptoms. Conventional white-light endoscopy showed a faint circumferential flat hyperemic lesion over the upper esophagus. Lugol chromoendoscopy showed an 8-cm-long well-demarcated Lugol-voiding lesion, occupying almost the total circumference of the esophagus from 21 cm to 29 cm below the incisor (Figures 1A–C). Narrow-band imaging with magnification showed dilated intraepithelial papillary capillary loops, which are consistent with high-grade intraepithelial neoplasia. The histology taken from endoscopic biopsy every 2 cm confirmed a high-grade squamous dysplasia (Figure 1D). Subsequent endoscopic ultrasound and computer topography scan showed that there was no lymphadenopathy or distant metastasis. After detailed explanations of the use of RFA or ESD and alternative treatment options, the patient agreed to be treated with balloon-type RFA and provided informed consent. The HALO360 System (Covidien GI Solutions, Sunnyvale, CA, USA), which consists of an ablation catheter, an energy generator, and a sizing balloon, was deployed for the eradication of the esophageal lesion. The procedure was performed under general anesthesia. Lugol

staining was performed before RFA to determine the location and extent of the Lugol-voiding lesions. Then, a sizing balloon was introduced over a guide-wire to measure the inner diameter of the esophagus. Accordingly, an 18-mm-diameter balloon catheter with a 3-cm-long bipolar array that can deliver short-burst (1 second) RFA at 40 W/cm² and 12 J/cm² was selected and introduced over the guide-wire to the diseased segment. We used a 12 J/cm²–clean–12 J/cm² regimen [8] for the procedure (Figures 1E–G). The treatment area included 1 cm proximal to 1 cm distal of the Lugol-voiding lesion. We started ablation from the oral to the distal side with a 0.5-cm overlap between each application. After electrode activation, the energy generator sensed and reported good efficacy over the treatment area in all applications. After the first pass of ablation, an endoscope equipped with a transparent cap was used to remove adherent coagulum from the ablation zone. Then, the ablation catheter was reintroduced and the area was treated for a second time. The procedure time was only approximately 30 minutes. No immediate adverse events were noted (Figure 1G). The removed coagulum was sent for a histological examination, and it showed an extensive cauterization effect with focal dysplastic epithelium (Figures 1H and 1I). After the procedure, the patient was provided with esomeprazole 40 mg/d and sucralfate suspension 5 mL (200 mg/mL) four times daily for 1 month. He started oral intake on Day 2 post-RFA. Chest pain and throat pain developed after the procedure and resolved within 3 days. He was discharged uneventfully on the 3rd day post-RFA. Follow-up endoscopy at 1 month, 3 months, and 6 months showed no residual Lugol-voiding lesion or stricture (Figures 1J–L). Biopsies taken randomly from the normal-appearing mucosa every 2 cm over the treatment area also confirmed a complete remission.

Discussion

The incidence of esophageal cancer is increasing rapidly in Taiwan [2]. Recent advances in endoscopic techniques have led to an earlier diagnosis of esophageal squamous mucosal cancer or precancerous lesions, which can be cured by ESD [3,4]. However, ESD not only requires considerable expertise, but may also lead to esophageal strictures in larger lesions [6]. Thus, a more convenient and safe method is desired. We report a case with long-segment circumferential squamous high-grade dysplasia that was treated successfully and safely using balloon-based RFA. The RFA procedure does not require a high level of endoscopic expertise, and is a potentially safe and effective procedure to treat early ESCNs. Interestingly, we, for the first time, demonstrated the histology of esophageal coagulum that showed an extensive cauterization effect (Figures 1H and 1I).

Although ESD is gaining increasing attention, it remains a challenging technique, and is both time consuming and associated with a higher rate of complications (including perforation, massive bleeding, and postprocedural strictures) [4]. The incidence of these complications is strongly related to the size and depth of invasion of the lesion, and the excision extension of the lumen [6]. Safe and

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