

Human Apolipoprotein E Genotype Differentially Affects Olfactory Behavior and Sensory Physiology in Mice

Brett S. East,^{a,b,*} Gloria Fleming,^a Kathy Peng,^{d,i} Jonas K. Olofsson,^{a,b,c} Efrat Levy,^{d,e,f,g} Paul M. Mathews^{d,f} and Donald A. Wilson^{a,b,g,h}

^a Emotional Brain Institute, Nathan S. Kline Institute, Orangeburg, NY 10962, USA

^b Department of Child & Adolescent Psychiatry, New York University School of Medicine, New York, NY 10016, USA

^c Department of Psychology, Stockholm University, SE-10691 Stockholm, Sweden

^d Center for Dementia Research, Nathan S. Kline Institute, Orangeburg, NY 10962, USA

^e Department of Biochemistry & Molecular Pharmacology, New York University School of Medicine, New York, NY 10016, USA

^f Department of Psychiatry, New York University School of Medicine, New York, NY 10016, USA

^g The Neuroscience Institute, New York University School of Medicine, New York, NY 10016, USA

^h Department of Neuroscience & Physiology, New York University School of Medicine, New York, NY 10016, USA

ⁱ Department of Neurology, New York University School of Medicine, New York, NY 10016, USA

Abstract—Apolipoprotein E (ApoE) is an important lipid carrier in both the periphery and the brain. The ApoE ϵ 4 allele (ApoE4) is the single most important genetic risk-factor for Alzheimer's disease (AD) while the ϵ 2 allele (ApoE2) is associated with a lower risk of AD-related neurodegeneration compared to the most common variant, ϵ 3 (ApoE3). ApoE genotype affects a variety of neural circuits; however, the olfactory system appears to provide early biomarkers of ApoE genotype effects. Here, we directly compared olfactory behavior and olfactory system physiology across all three ApoE genotypes in 6-month- and 12-month-old mice with targeted replacement for the human ApoE2, ApoE3, or ApoE4 genes. Odor investigation and habituation were assessed, along with, olfactory bulb and piriform cortical local field potential activity. The results demonstrate that while initial odor investigation was unaffected by ApoE genotype, odor habituation was impaired in E4 relative to E2 mice, with E3 mice intermediate in function. There was also significant deterioration of odor habituation from 6 to 12 months of age regardless of the ApoE genotype. Olfactory system excitability and odor responsiveness were similarly determined by ApoE genotype, with an ApoE4 > ApoE3 > ApoE2 excitability ranking. Although motivated behavior is influenced by many processes, hyper-excitability of ApoE4 mice may contribute to impaired odor habituation, while hypo-excitability of ApoE2 mice may contribute to its protective effects. Given that these ApoE mice do not have AD pathology, our results demonstrate how ApoE affects the olfactory system at early stages, prior to the development of AD. © 2018 IBRO. Published by Elsevier Ltd. All rights reserved.

Key words: olfaction, apolipoprotein E, piriform cortex, olfactory bulb, Alzheimer's disease, odor habituation.

INTRODUCTION

Apolipoprotein E (ApoE) is the primary carrier of cholesterol within the brain, and ApoE genotype is an important determinant of an individual's risk for developing Alzheimer's disease (AD) (Corder et al., 1993; Farrer et al., 1997; Bu, 2009; Liu et al., 2013). Three alleles of ApoE occur in humans: ϵ 2 (cys112,

cys158; ~6% of the ApoE alleles in the population), ϵ 3 (cys112, arg158; the most abundant allele at ~80%), and ϵ 4 (arg112, arg158; ~14%) (Mahley, 1988; Mahley and Rall, 2000). ApoE4, in a dose-dependent manner, is the single most important genetic risk-factor for AD (Corder et al., 1993; Farrer et al., 1997; Bu, 2009; Liu et al., 2013). ApoE3 is viewed as the neutral allele in terms of neurodegenerative risk (Corder et al., 1993; Mahley and Rall, 2000; Liu et al., 2013) (and most closely resembles murine ApoE (Raffai et al., 2001)). ApoE2 is associated with a lower risk of AD-related neurodegeneration (Corder et al., 1994; Liu et al., 2013), delayed age of onset of AD, and a greater likelihood of survival to advanced age compared to the ApoE3 and ApoE4 alleles [reviewed in (Suri et al., 2013)]. In addition to AD, there is

*Correspondence to: B. S. East, EBI, Nathan Kline Institute, 140 Old Orangeburg Road, Orangeburg, NY 10962, USA.

E-mail address: brett.east@nki.rfmh.org (B. S. East).

Abbreviations: AD, Alzheimer's disease; aPCX, anterior piriform cortex; ApoE, apolipoprotein E; FFT, Fast-Fourier Transform; LFP, local field potential; NKI, The Nathan S. Kline Institute for Psychiatric Research; OB, olfactory bulb; PCX, piriform cortex.

40 increasing evidence that ApoE is involved in several other
41 disorders, with ApoE4 often exerting a deleterious and
42 ApoE2 a protective effect; while not all studies have found
43 a difference between ApoE2 and ApoE3 carriers [re-
44 viewed in (Suri et al., 2013)], both ApoE4 and ApoE2
45 appear to be associated with hemorrhagic and ischemic
46 cerebrovascular disease [reviewed in (Liu et al., 2013;
47 Suri et al., 2013; Lopez et al., 2014)], with a high risk of
48 argyrophilic grain disease and frontotemporal dementia
49 [reviewed in (Suri et al., 2013)]. ApoE2 also appears to
50 confer an increased incidence and severity of posttrau-
51 matic stress disorder (PTSD) (Freeman et al., 2005;
52 Kim et al., 2013; Johnson et al., 2015). While the effects
53 of ApoE4 have been extensively studied, the inconsisten-
54 cies among a small number of studies regarding the effect
55 of ApoE2 may in part be due to challenges related to its
56 low frequency allele.

57 While ApoE genotype may affect a myriad of neural
58 circuits and functions, the olfactory system and olfactory
59 perception appear to be a unique and early biomarker of
60 ApoE genotype. In humans, ApoE4 carriers show early
61 emergence of olfactory dysfunction (Price et al., 1991;
62 Bacon et al., 1998; Mesholam et al., 1998; Murphy
63 et al., 1998; Graves et al., 1999; Gilbert and Murphy,
64 2004; Josefsson et al., 2017; Peng et al., 2017), show
65 impaired odor identification (Murphy et al., 1998;
66 Olofsson et al., 2010, 2016), and modified olfactory
67 related evoked potentials (Kowalewski and Murphy,
68 2012; Morgan and Murphy, 2012) prior to other forms of
69 cognitive impairment, including AD impairment associ-
70 ated with amyloid β and tau pathology. In mice, ApoE4
71 impairs short-term odor memory and induces olfactory
72 system hyperexcitability including in the olfactory bulb
73 (OB) and piriform cortex (PCX) (Peng et al., 2017) as well
74 as the lateral entorhinal cortex (Nuriel et al., 2017) The
75 olfactory system is suitable for assessing links between
76 cell biology, circuit function and behavior given its rela-
77 tively simple circuitry and the availability of reliable, robust
78 behavioral assays in both humans and non-human ani-
79 mals. ApoE is important for neuroregeneration of the
80 rodent olfactory system (Nathan et al., 2005), and ApoE
81 knock-out mice show impaired olfactory detection
82 (Nathan et al., 2004). This olfactory dysfunction is associ-
83 ated with neurophysiological (Mesholam et al., 1998;
84 Corby et al., 2012; Kowalewski and Murphy, 2012; Peng
85 et al., 2017), structural (Tanaka et al., 1998; Hashimoto
86 et al., 2001) and cellular changes (Tsuboi et al., 2003;
87 Nathan et al., 2005; Hussain et al., 2013) in olfactory
88 regions of the brain. Understanding how ApoE isoforms
89 may influence olfactory system function and perception
90 would provide important insights into ApoE4 as a major
91 risk factor for olfactory and cognitive decline.

92 Here, we directly compared olfactory behavior and
93 olfactory system physiology across all three ApoE
94 genotypes in mice that are homozygous for human
95 ApoE2, ApoE3, or ApoE4. We hypothesized a
96 genotype-dependent gradient in odor habituation and
97 olfactory system excitability, such that, for example,
98 ApoE4 mice would display hyper-excitability and
99 impaired behavioral habituation, and ApoE2 mice hypo-
100 excitability and increased behavioral habituation, relative

to ApoE3 mice. The results were in line with our
hypotheses and they extend previous work (Peng et al.,
2017).

EXPERIMENTAL PROCEDURES

Study approval

All animal procedures were performed in accordance with
the Nathan S. Kline Institute (NKI) for Psychiatric
Research Institutional Animal Care Committee's
approval.

Mice

Mice used in this experiment were homozygous for
human ApoE2, ApoE3, and ApoE4 genes on a C57BL/6
background which are from long-standing colonies at
NKI. These targeted-replacement mice express human
ApoE under the control of the endogenous murine
promoter (Sullivan et al., 1997), which allows for the
expression of human ApoE at physiologically regulated
levels in the same temporal and spatial pattern as
endogenous murine ApoE. The native mouse ApoE pro-
tein has structural similarities to human ApoE4, but func-
tional similarities to human ApoE3 (Raffai et al., 2001).
Thus, a direct comparison between human and mouse
ApoE genotypes would be, at best, difficult to interpret.
A total of 40 mice were used for the habituation compo-
nent of this study (6-month, ApoE2 = 9; 6-month,
ApoE3 = 6; 6-month, ApoE4 = 6; 12-month, ApoE2 =
6, 12-month ApoE3 = 9; 12-month, ApoE4 = 4). A total
of 46 mice were used for the electrophysiology compo-
nent of this study (6-month ApoE2 = 6; 6-month ApoE3
= 13; 6-month ApoE4 = 10; 12-month ApoE2 = 6, 12-
month ApoE3 = 6; 12-month, ApoE4 = 5).

Odor habituation

To investigate for simple behavioral odor memory deficits,
mice were screened using an odor habituation test. Prior
to behavioral assessment (24–48 h), mice were single-
housed in new home cages with fresh corncob bedding.
Test odors (2-heptanone, isoamyl acetate, (+)
enantiomer of limonene, and ethyl valerate; Sigma
Aldrich, St. Louis, MO, USA) were diluted in mineral oil
to a concentration of 100 ppm. The dilution was then
applied to a cotton-tipped applicator that was
subsequently enclosed in a piece of odorless plastic
tubing. The tubing allowed for volatile odor delivery
while preventing the liquid from coming in direct contact
with either the chamber or animal. Each trial consisted
of a 20-s odor presentation (inserting the applicator into
a port on the side of the animal's home cage) followed
by a 30-s intertrial interval with four presentations of a
single odor in each block. Each odor was presented four
times and odor sequence was the same for all mice.
The duration of time spent investigating, defined as
snout-oriented sniffing within 1 cm of the odor
presentation port, was recorded by a single observer
blind to animal genotype. Data were averaged across
odors to provide a single odor habituation curve for
each mouse. All testing took place during the light

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