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INVESTIGATING THE ROLE OF ALPHA AND BETA RHYTHMS 2 IN FUNCTIONAL MOTOR NETWORKS 3

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13 Abstract-It is recognized that lower electroencephalographic (EEG) frequencies correspond to distributed brain activity over larger spatial regions than higher frequencies and are associated with coordination. In motor processes it has been suggested that this is not always the case. Our objective was to explore this contradiction. In our study, seven healthy subjects performed four motor tasks (execution and imagery of right hand and foot) under EEG recording. Two cortical source models were defined, model «A» with 16 regions of interest (ROIs) and model $\ll B \gg$ with 20 ROIs over the sensorimotor cortex. Functional connectivity was calculated by Directed Transfer Function for alpha and beta rhythm networks. Four graph properties were calculated for each network: characteristic path length (CPL), clustering coefficient (CC), density (D) and small-world-ness (SW). Different network modules and in-degrees of nodes were also calculated and depicted in connectivity maps. Analysis of variance was used to determine statistical significance of observed differences in the network properties between tasks, between rhythms and between ROI models. Consistently on both models, CPL and CC were lower and D was higher in beta rhythm networks. No statistically significant difference was observed for SW between rhythms or for any property between tasks on any model. Comparing the models we observed lower CPL for both rhythms, lower CC in alpha and higher CC in beta when the number of ROIs increased. Also, denser networks with higher SW were correlated with higher

number of ROIs. We propose a non-exclusive model where alpha rhythm uses greater wiring costs to engage in local information progression while beta rhythm coordinates the neurophysiological processes in sensorimotor tasks.

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Key words: brain waves, electroencephalography, functional connectivity, motor imagery, motor network, sensorimotor cortex.

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INTRODUCTION

The performance of physical motor tasks (also known as execution) involves the activation motor and communication of many cortical regions. The mental rehearsal or execution of a motor task that does not actually lead to physical execution is often referred to as motor imagery (Decety and Ingvar, 1990). Motor imagery has drawn a lot of attention especially due to the similar (to motor execution) activation patterns that it elicits in the human brain (Pfurtscheller and Neuper, 1997; Avikainen et al., 2002; Järveläinen et al., 2004) and it has been extensively utilized in rehabilitation practices and sports training. More importantly, motor imagery has been employed in cases of severe neurological disability (such as those caused by strokes or spinal cord injury) as a control modality of Brain-Computer Interfaces (BCIs) to promote communication or functional mobility restoration (Wolpaw et al., 2002; Birbaumer, 2006).

Recently, interest has been drawn to the functional 33 characteristics of cortical regions, especially the way 34 that each region communicates with each other and the 35 neurophysiological observations that actually better 36 represent their activation and communication patterns. 37 In a number of electroencephalographic (EEG) studies, 38 neural oscillations within the range of alpha (8-12 Hz) 39 and beta (13-30 Hz), as well as gamma activity (30-40 90 Hz), have been identified as the EEG bandwidth 41 more commonly associated with the sensorimotor 42 processes (Neuper et al., 2006; Sabate et al., 2011, 43 2012; Lopes da Silva, 2013). Alpha rhythm when 44 recorded over the sensorimotor regions is also known 45 as the mu or sensorimotor rhythm and alpha modulation 46 has drawn attention with regards to its physiological role 47 in motor execution and motor imagery (Sabate et al., 48 2011). An attentional role to both local neuronal 49

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Abbreviations: BCIs, Brain-Computer Interfaces: CC. clustering coefficient; CCD, Cortical Current Density; CPL, characteristic path length; D, density; DTF, Directed Transfer Function; EEG, electroencephalographic; FME, foot motor execution; FMI, foot motor imagery; HME, hand motor execution; HMI, Hand motor imagery; MNI, Montreal Neurological Institute; MRI, Magnetic Resonance Imaging; MVAR, multivariate autoregressive; PCC, Pearson's Correlation Coefficient; ROIs, regions of interest; SW, small-world-ness.

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processes and wide interactions has been attributed to 50 alpha rhythm (Palva and Palva, 2011). While traditionally 51 alpha has been shown to decrease in amplitude in 52 response to movement (Arroyo et al., 1993), more active 53 task-centric roles have since also been attributed to this 54 range of oscillations (von Stein and Sarnthein, 2000; 55 Palva and Palva, 2011; Sabate et al., 2011, 2012). 56

57 The beta rhythm has also been known to be voluntarily modulated during motor execution (Salmelin 58 et al., 1995; Willemse et al., 2010). Yet some researchers 59 suggested that beta does not represent an independent 60 process or physiological role (Jurgens et al., 1995; 61 Sugata et al., 2014), that is phase locked to the alpha 62 63 rhythm and, as such, not offered as independent control feature (Krusienski et al., 2007). It has been also sug-64 gested that alpha and beta rhythms could be considered 65 components of a wider range of the mu rhythm (Pineda, 66 2008). Nonetheless, beta rhythm has some unique prop-67 erties including an inhibitory state native to primary motor 68 cortex or an underlying cortical network baseline activity 69 (Neuper and Pfurtscheller, 2001), as well as an active 70 state related to limb movement (Salmelin et al., 1995; 71 72 Neuper and Pfurtscheller, 1996, 2001). Beta rhythm 73 (especially high beta band or 20-30 Hz) has also been 74 reported to be a good physiological predictor of motor skill 75 acquisition when compared to other frequency ranges, 76 including the alpha range of the sensorimotor mu rhythm (8-12 Hz) (Wu et al., 2014). The beta band desynchro-77 nization has also been reported to be the most robust 78 EEG localized feature during motor execution of the hand 79 (Kuo et al., 2014). 80

Alpha and beta oscillation patterns cannot be 81 considered temporally and spatially static (Varela et al., 82 2001; Astolfi et al., 2007; Ioannides, 2007). Instead, the 83 actual mechanics and dynamics of cortical interactions 84 and information flow can be portrayed and studied by 85 86 means of functional connectivity (loannides, 2007; Athanasiou et al., 2012) which has the ability to estimate 87 significant temporal correlations and dynamic networks 88 that are formed across spatially separated cortical activa-89 tions (Astolfi et al., 2007; Athanasiou et al., 2012). In motor 90 91 skills acquisition, connectivity is more suited to depict the dynamic cortical processes than more "static" traditional 92 93 methods (Wu et al., 2014). Furthermore, cross-94 frequency coupling is an important property of brain activity that has been recognized to significantly contribute, not 95 only to cognitive functioning (Fitzgerald et al., 2013), but 96 sensorimotor processes as well, serving intercommunica-97 tion between cortical activity of different spatial and fre-98 quency characteristics (Canolty and Knight, 2010). 99

100 A number of studies suggests that lower frequencies tend to modulate brain activity over larger spatial 101 regions and are therefore associated to top-down 102 control and coordination functionality (Canolty and 103 Knight, 2010; Fitzgerald et al., 2013). In contrast, higher 104 frequencies tend to form more spatially focused modula-105 tion and are associated with processing within local neu-106 ronal clusters (von Stein and Sarnthein, 2000; Achard 107 et al., 2006; Canolty and Knight, 2010). Though similar 108 observations apply for motor processes (Crone et al., 109 1998), this is not always the case. Such evidence 110

(Willemse et al., 2010; Athanasiou et al., 2014; Zhang 111 et al., 2014) suggests that the alpha band presents high 112 modulation in spatially focused connectivity flows while 113 higher frequency bands handle distant connectivity inter-114 actions. Observations implying a role of beta rhythm in 115 distant information flow have also been reported by De 116 Vico Fallani et al. (2013) and Strens et al. (2004). Such an exemption, possibly non-mutually exclusive (Palva 118 and Palva, 2011), has also been observed in other neuro-119 physiological processes, such as visual working memory 120 (Palva et al., 2010). It has also been reported during pro-121 cesses intrinsic to visual cortical areas where higher fre-122 quency components (gamma) could present modulation across separated areas and over lower. locally nested. 124 frequency components (delta) (Bruns and Eckhorn, 125 2004).

In our previous work (Athanasiou et al., 2012, 2014) we 127 investigated the formation and properties of networks of 128 alpha and beta rhythms in motor imagery and execution 129 using graph analysis of functional connectivity over the 130 sensorimotor cortex and surrounding cortical areas. We 131 identified patterns of properties unique to each rhythm, 132 namely an increased clustering of the alpha networks or 133 an increased density combined with more effective path 134 lengths of the beta networks (Athanasiou et al., 2014). 135 Such observations led us to hypothesize and propose a 136 functional model regarding the distinct physiological roles 137 of those rhythms in sensorimotor processes. According 138 to this proposed model, alpha rhythm seems to carry and 139 modulate elaborate information on the neurophysiological 140 process that is being executed; using greater wiring costs 141 to achieve this task, and assumes the role of local neuronal 142 processing. Similarly beta rhythm carries and disperses 143 coordinative information among different clusters of nodes 144 and modulates activity over larger spatial regions. 145

The goal of the current paper is to present and further elaborate the observations of our previous study, as well as subject the aforementioned proposed scenario to a different model of cortical regions of interest (ROIs) and compare those findings to what we have already suggested. We examine the possibility that the role of beta rhythm, in specific context, may be the coordination of sensorimotor information and that of alpha rhythm may be related to local processing. Furthermore, we discuss our findings in the light of the relevant literature and what functional models other authors propose or suggest regarding the formation of sensorimotor cortical networks. Ultimately, we make mention of the significance of these observations not only towards a more profound understanding of how brain activity is modulated in the motor system but also towards the possible implementation of such knowledge in the design and development of connectivity-based BCIs (Goel et al., 2011; Benz et al., 2012; Zhang et al., 2014; Hamedi et al., 2016; Kabbara et al., 2016).

EXPERIMENTAL PROCEDURES

Subjects

Seven healthy, right-handed individuals participated in 168 this study. The study was performed in accordance with 169

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