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Musical Expectations Enhance Auditory Cortical Processing in Musicians: A Magnetoencephalography Study

4 Jeong Mi Park, ^a Chun Kee Chung, ^{b,c,d,e} June Sic Kim, ^{c,e} Kyung Myun Lee, ^f Jaeho Seol ^c and Suk Won Yi ^{a,g,h}*

⁵ ^a Interdisciplinary Program in Musicology, Seoul National University, Seoul 08826 South Korea

6 ^b Interdisciplinary Program in Neuroscience, Seoul National University, Seoul 08826 South Korea

7 ^c MEG Center, Department of Neurosurgery, Seoul National University Hospital, Seoul 03080 South Korea

^d Department of Neurosurgery, Seoul National University, Seoul 08826 South Korea

⁹ ^e Department of Brain and Cognitive Science, Seoul National University, Seoul 08826 South Korea

- ¹⁰ ^f School of Humanities and Social Sciences, Korea Advanced Institute of Science and Technology, Daejeon 34141 South Korea
- ¹¹ ⁹ Western Music Research Institute, Seoul National University, Seoul 08826 South Korea
- ¹² ^h College of Music, Seoul National University, Seoul 08826 South Korea
- Abstract—The present study investigated the influence of musical expectations on auditory representations in 13 musicians and non-musicians using magnetoencephalography (MEG). Neuroscientific studies have demonstrated that musical syntax is processed in the inferior frontal gyri, eliciting an early right anterior negativity (ERAN), and anatomical evidence has shown that interconnections occur between the frontal cortex and the belt and parabelt regions in the auditory cortex (AC). Therefore, we anticipated that musical expectations would mediate neural activities in the AC via an efferent pathway. To test this hypothesis, we measured the auditory-evoked fields (AEFs) of seven musicians and seven non-musicians while they were listening to a five-chord progression in which the expectancy of the third chord was manipulated (highly expected, less expected, and unexpected). The results revealed that highly expected chords elicited shorter N1m (negative AEF at approximately 100 ms) and P2m (positive AEF at approximately 200 ms) latencies and larger P2m amplitudes in the AC than lessexpected and unexpected chords. The relations between P2m amplitudes/latencies and harmonic expectations were similar between the groups; however, musicians' results were more remarkable than those of nonmusicians. These findings suggest that auditory cortical processing is enhanced by musical knowledge and long-term training in a top-down manner, which is reflected in shortened N1m and P2m latencies and enhanced P2m amplitudes in the AC. © 2017 IBRO. Published by Elsevier Ltd. All rights reserved.

Key words: auditory cortex, context, harmonic expectation, latency, musician, P2m.

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INTRODUCTION

Western tonal music has a harmonic hierarchy that 16 17 evokes musical expectancy along sequential chords. 18 Thus, the same chords may be perceived to have different relationships depending on the harmonic 19 context. For example, GBD-CEG can be regarded as V 20 (dominant) - I (tonic chord) in a key of C major and I-IV 21 (subdominant chord) in a key of G major (Regnault 22 et al., 2001; Poulin-Charronnat et al., 2006). Such regu-23

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larities establish musical syntax, which has been reported to be processed in right-lateralized structures in the frontal cortex (Maess et al., 2001; Leino et al., 2007; Kim et al., 2011; Koelsch et al., 2013), while the effects of the spectral properties of sound, training or experience, regardless of musical context, have been reported mainly in subcortical regions or auditory cortices (Marmel et al., 2011a; Fritz et al., 2013; Bidelman et al., 2014). The present question is whether harmonic expectancies generated in the frontal cortex influence auditory cortical processing in a top-down manner.

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Most previous studies on auditory cortical representations, however, have not focused on the effects of context but rather on the effects of training or experience. Many studies have found that auditoryevoked potentials, N1 and P2, are enhanced by the complexity of sounds (Kaganovich et al., 2013), training or learning (Atienza et al., 2002; Pantev and Herholz, 41

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^{*}Correspondence to: S.W. Yi, Interdisciplinary Program in Musicology, Seoul National University, Seoul 08826 South Korea. E-mail address: sukwon@snu.ac.kr (S. W. Yi).

Abbreviations: MEG, magnetoencephalography; ERAN, early right anterior negativity; AEF, auditory-evoked magnetic field; AC, auditory cortex; V, dominant chord; I, tonic chord; IV, subdominant chord; T1 to T5, first to fifth trigger; ROIs, regions of interest; N⁶, Neapolitan 6th chord; ANOVA, analysis of variance; SEM, standard error of the mean.

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2011; Seppänen et al., 2012; Itoh et al., 2012; Tremblay et al., 2014), musical expertise (Shahin et al., 2003), and musical experience (Pantev et al., 2001; Kuriki et al., 2006).

Behavioral studies have found that tonal expectations 46 influence response times (Bharucha and Stoeckig, 1986; 47 Tillmann and Lebrun-Guillaud, 2006; Tillmann et al., 48 49 2008). Tonally expected chords are processed more rapidly than other chords (Tillmann and Lebrun-Guillaud, 50 2006), and response-time patterns reflect chord ranking 51 according to tonal structure, with faster processing for 52 tonic chords, followed by dominant and subdominant 53 chords (Tillmann et al., 2008). Those results might reflect 54 55 enhanced auditory processing by harmonically expected chords. Furthermore, because the auditory cortex (specif-56 ically the belt and parabelt regions of the auditory cortex) 57 is interconnected with the frontal cortex (Kaas and 58 Hackett, 2000), harmonic expectations generated in the 59 frontal cortex (Koelsch et al., 2000) could potentially influ-60 ence auditory cortical processing. In a study on musical 61 perception, Platel et al. (1997) reported that familiar musi-62 cal tasks activate both the left inferior frontal gyrus and 63 superior temporal gyrus, and the results indicate an inter-64 65 connection between the frontal and temporal gyri in pro-66 cessing music. Marmel et al. (2011b) demonstrated that 67 cognitive tonal expectations modulate early pitch process-68 ing by eliciting Nb/P1 complexes of different amplitudes. 69 In addition, Marmel et al. (2011a) found that harmonic relationships even influence the auditory brainstem when 70 encoding chords. However, there has been minimal 71 research on the effects of expectations according to har-72 monic context on auditory cortical representations. Thus, 73 the present study investigated the effects of musical con-74 text on the auditory cortical processing of sequential 75 chords using magnetoencephalography (MEG). 76

EXPERIMENTAL PROCEDURES

78 Participants

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Fourteen subjects, including seven female musicians 79 (mean age \pm SD, 23.6 \pm 10.91 years) and five female 80 and two male non-musicians (mean age \pm SD, 20.4 \pm 81 1.72 years) participated in the experiment. The study 82 was approved by the Ethics Committee of Seoul 83 National University, Korea. All of the participants signed 84 informed consent forms in accordance with the 85 Institutional Review Board, and the experiment was 86 performed in accordance with the Declaration of 87 Helsinki. The participants were right-handed and had 88 89 Edinburgh Handedness Inventory scores exceeding 79%. The participants in the musician group had 90 91 majored in piano, violin, and composition and had spent an average of 25,370 h (minimum of 19,580 h) studying 92 music throughout their lives, whereas the participants in 93 the non-musician group had taken < 600 h of formal 94 music lessons. 95

96 Stimuli

97 The harmonic progressions used in the experiment 98 consisted of five chords and a rest. The duration of the first to fourth chords was 800 ms. the fifth chord lasted ٩q 1200 ms, and the rest (silence) lasted 400 ms. Each 100 chord was a major triad (e.g., C-E-G and G-B-D), 101 representing a consonance. The standard progression 102 was I - I - V - V - I. We manipulated harmonic 103 expectations at the third trigger (T3) to create three 104 conditions with different degrees of harmonic 105 expectancies (Fig. 1). First, a dominant chord (V) at T3 106 was highly expected. Second, a Neapolitan 6th chord 107 $(N^6: F-A^{\flat}-D^{\flat})$ in the key of C major) at T3 was less 108 expected than a dominant chord but remained plausible 109 because N⁶ functions as a predominant chord 110 (before V), which is similar to a subdominant (IV) chord 111 according to Western traditional music theory, although 112 N⁶ has two out-of-key notes. Third, a flatted mediant 113 chord (III) at T3 was unexpected and implausible in the 114 musical context, although "III had two out-of-key notes 115 similar to N⁶. A dominant (V), Neapolitan 6th (N⁶) and 116 flatted mediant chord (,III) at T3 are all consonances as 117 major triads but have different expectancies depending 118 on musical context. The three types of stimuli were 119 transposed into 12 keys, and each sequence was 120 presented five times in a pseudorandom order to avoid 121 repeating the same keys twice in a row. 122

Although T1, T2, and T5 were identical as tonic 123 chords, T2 was simply a repetition of T1, and T5 was 124 presented with strong expectations because T4 and T5 125 built a perfect authentic cadence (V - I) (Fig. 1). T2 was 126 superior to T5 in terms of acoustic similarities between 127 previous chords, whereas T5 was superior to T2 in 128 terms of harmonic expectations. Thus, the former 129 (acoustical similarities) is related to a bottom-up 130 process, whereas the latter (harmonic expectations) is 131 related to a top-down process. Hence, a comparison 132 between the effects of T2 and T5 helps untangle the 133 two types of processes. 134

Procedures

The participants sat in a magnetically shielded room 136 listening to the musical stimuli at a sound pressure level 137 of approximately 60 dB using a STIM 2 system 138 (Neuroscan, Charlotte, NC, USA) via MEG-compatible 139 tubal-insert earphones during the MEG recording. 140 Before the experiment, the participants were instructed 141 to stay awake and to view a fixation cross at a 142 comfortable distance to reduce retinal movement while 143 the evoked magnetic fields were being recorded. Two 144 sessions were conducted, and in each session, the 145 participants listened to 180 sequences consisting of five 146 chords. Participants wanting to rest between the 147 sessions were allowed to do so. Each of the two 148 sessions lasted approximately 11 min. 149

MEG recordings

AEF recordings were acquired using a 306-channel 151 whole-head MEG system (VectorView, Elekta 152 Neuromag Oy, Helsinki, Finland) at the Seoul National 153 University Hospital. This system measured magnetic 154 field strength in 102 locations, which were covered by a 155 triplet of sensors (two planar gradiometers and one 156

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