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Research paper

## Responses of the terrestrial carbon cycle to drought over China: Modeling sensitivities of the interactive nitrogen and dynamic vegetation

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#### A B S T R A C T

Drought can trigger both immediate and time-lagged responses of terrestrial ecosystems and even cause sizeable positive feedbacks to climate warming. In this study, the influences of interactive nitrogen (N) and dynamic vegetation (DV) on the response of the carbon cycle in terrestrial ecosystems of China to drought were investigated using the Community Land Model version 4.5 (CLM4.5). Model simulations from three configurations of CLM4.5 (C, carbon cycle only; CN, dynamic carbon and nitrogen cycle; CNDV, dynamic carbon and nitrogen cycle as well as dynamic vegetation) between 1961 and 2010 showed that the incorporation of a prognostic N cycle and DV into CLM4.5 reduce the predicted annual means and inter-annual variability of predicted gross primary production (GPP) and net ecosystem production (NEP), except for a slight increase in NEP for CNDV compared to CN. They also resulted in better agreement with the gridded flux data upscaled from eddy covariance observations (7.0 PgC yr−1) of annual GPP over the terrestrial ecosystems in China for CLM45-CN (7.5 PgC yr−1) and CLM45-CNDV (7.3 PgC yr−1) than for CLM45-C (10.9 PgC yr−1). Compared to the CLM45-C, the carbon-nitrogen coupling strengthened the predicted response of GPP to drought, resulting in a higher correlation with the standardized precipitation index (SPI;  $r_C = 0.62$ ,  $r_{CN} = 0.67$ ), but led to a weaker sensitivity of NEP to SPI ( $r_C = 0.51$ ,  $r_{CN} = 0.45$ ). The CLM45-CNDV had the longest lagged responses of GPP to drought among the three configurations.

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#### **1. Introduction**

As a major sink in the global carbon cycle, terrestrial ecosystems have sequestered 25%−30% of anthropogenic carbon dioxide (CO<sub>2</sub>) emissions for the past five decades ([Le](#page--1-0) [Quéré](#page--1-0) et [al.,](#page--1-0) [2009\).](#page--1-0) Terrestrial ecosystems slow increases in atmospheric  $CO<sub>2</sub>$  concentration by sequestering carbon in the vegetation biomass and soils, providing a negative feedback mechanism in the climate–carbon system ([Chen](#page--1-0) et [al.,](#page--1-0) [2011;](#page--1-0) [Pan](#page--1-0) et [al.,](#page--1-0) [2011;](#page--1-0) [Reichstein](#page--1-0) et [al.,](#page--1-0) [2013;](#page--1-0) [Zhao](#page--1-0) [and](#page--1-0) [Running,](#page--1-0) [2010\).](#page--1-0) The occurrence of extreme climatic events (e.g., drought) can affect the functioning of the carbon cycle in terrestrial ecosystems by reducing photosynthetic capacity or altering respiratory processes ([Zeng](#page--1-0) et [al.,](#page--1-0) [2005;](#page--1-0) [Zscheischler](#page--1-0) et [al.,](#page--1-0) [2014\).](#page--1-0) Severe regional drought has become more frequent under a chang-

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<https://doi.org/10.1016/j.ecolmodel.2017.11.009> 0304-3800/© 2017 Elsevier B.V. All rights reserved. ing climate and is likely to increase in frequency for the foreseeable future [\(Dai,](#page--1-0) [2013;](#page--1-0) [Qian](#page--1-0) et [al.,](#page--1-0) [2011;](#page--1-0) [Stocker](#page--1-0) et [al.,](#page--1-0) [2013\).](#page--1-0) China experienced frequent severe droughts during the second half of the 20th century ([Zou](#page--1-0) et [al.,](#page--1-0) [2005\)](#page--1-0) and faces a projected increase in drought risk in the future ([Wang](#page--1-0) [and](#page--1-0) [Chen,](#page--1-0) [2014\).](#page--1-0) Therefore, it is necessary to explore the impact of drought on the carbon fluxes of terrestrial ecosystems; this will advance our understanding of the mechanism of carbonand water coupling under drought conditions and provide information needed for policy-making.

Site-level measurements from a global observation network [\(Schwalm](#page--1-0) et [al.,](#page--1-0) [2010;](#page--1-0) [Sun](#page--1-0) et [al.,](#page--1-0) [2006\)](#page--1-0) and satellite-based observations ([Zhang](#page--1-0) et [al.,](#page--1-0) [2012;](#page--1-0) [Zhao](#page--1-0) [and](#page--1-0) [Running,](#page--1-0) [2010;](#page--1-0) [Zscheischler](#page--1-0) et [al.,](#page--1-0) [2014\)](#page--1-0) usually have been used to quantify the impacts of drought on the carbon fluxes of terrestrial ecosystems. Compared to in situ and satellite-based observations, process-based terrestrial carbon cycle models can better capture the coupling mechanism between increasing climate extremes and decreasing carbon uptake (Reichstein et [al.,](#page--1-0) [2013;](#page--1-0) [Zeng](#page--1-0) et al., [2005\)](#page--1-0) and have been used to examine the response of the terrestrial carbon cycle to droughts over China [\(Liu](#page--1-0) et [al.,](#page--1-0) [2014;](#page--1-0) [Pei](#page--1-0) et [al.,](#page--1-0) [2013;](#page--1-0) [Xiao](#page--1-0) et [al.,](#page--1-0) [2009;](#page--1-0) [Yuan](#page--1-0)







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et [al.,](#page--1-0) [2014\).](#page--1-0) For example, [Xiao](#page--1-0) et [al.](#page--1-0) [\(2009\)](#page--1-0) used a process-based biogeochemistry model, the Terrestrial Ecosystem Model, to investigate the impact of twentieth-century droughts on the terrestrial carbon cycle in China and found that severe and extended drought substantially reduced the countryside annual net primary production (NPP) and net ecosystem production (NEP). Moreover, results showed that strong decreases in NPP were mainly responsible for the anomalies in annual NEP during the drought periods; heterotrophic respiration also experienced a strong decrease, but with smaller magnitude ([Xiao](#page--1-0) et [al.,](#page--1-0) 2009). Yuan et al. (2014) pointed that the multiyear precipitation reduction changed the regional carbon uptake of 0.011 PgC yr−<sup>1</sup> in Northern China from 1982 to 1998 to a net source of 0.018 Pg C yr−<sup>1</sup> from 1999 to 2011, and the average maize yield from 1999 to 2011 was reduced by 440 kg ha<sup>-1</sup> yr<sup>-1</sup> compared with linear trend yields. During the summer of 2013, southern China experienced the strongest drought on record for the past 113 years, which significantly reduced the satellite-based vegetation index, GPP, and evapotranspiration [\(Xie](#page--1-0) et [al.,](#page--1-0) [2016\)](#page--1-0) and altered the regional carbon cycle [\(Yuan](#page--1-0) et [al.,](#page--1-0) [2016\).](#page--1-0)

As one of the most important factors in terrestrial carbon cycle, nitrogen (N) plays an important role in the coupling between carbon and water by affecting photosynthetic rates and stomatal conductance ([Bonan](#page--1-0) [and](#page--1-0) [Levis,](#page--1-0) [2010;](#page--1-0) [Gotangco](#page--1-0) [Castillo](#page--1-0) et [al.,](#page--1-0) [2012;](#page--1-0) [Thornton](#page--1-0) et [al.,](#page--1-0) [2009\).](#page--1-0) The process of carbon-nitrogen-water coupling leads to a change in canopy transpiration; the changing transpiration then affects photosynthesis through water stress ([Lee](#page--1-0) et [al.,](#page--1-0) [2013\).](#page--1-0) Furthermore, N limitation can decrease the positive effect of  $CO<sub>2</sub>$  fertilization on the vegetation productivity in unmanaged ecosystems [\(Langley](#page--1-0) [and](#page--1-0) [Megonigal,](#page--1-0) [2010\);](#page--1-0) leaf photosynthesis may be more sensitive to reduced stomatal conductance at elevated  $CO<sub>2</sub>$  levels when N is limited ([McMurtrie](#page--1-0) et [al.,](#page--1-0) [2008\).](#page--1-0) In addition, dynamic vegetation (DV) models have been designed to be coupled with some land surface models for the study of global ecosystem−climate interactions ([Zeng](#page--1-0) et [al.,](#page--1-0) [2008\).](#page--1-0) The incorporation of DV in land models has been found to reduce the seasonal variability in predicted leaf area and moisture fluxes [\(Gotangco](#page--1-0) [Castillo](#page--1-0) et [al.,](#page--1-0) [2012\)](#page--1-0) and enhance climate persistence in earth system models by introducing long-term memory to the earth system ([Delire](#page--1-0) et [al.,](#page--1-0) [2004\).](#page--1-0) However, few studies have examined the influences of including the N cycle and DV on simulating the responses of terrestrial carbon cycle to drought.

The process-based model, Community Land Model version 4.5 (CLM4.5) [\(Oleson](#page--1-0) et [al.,](#page--1-0) [2013\)](#page--1-0) has an option for running a prognostic carbon−nitrogen model ([Thornton](#page--1-0) et [al.,](#page--1-0) [2007\)](#page--1-0) or a dynamic global vegetation model [\(Gotangco](#page--1-0) [Castillo](#page--1-0) et [al.,](#page--1-0) [2012;](#page--1-0) [Levis](#page--1-0) et [al.,](#page--1-0) [2004;](#page--1-0) [Zeng](#page--1-0) et [al.,](#page--1-0) [2008\)](#page--1-0) or both. In this study, it was used to present an analysis on the relationships between drought (indicated by the standardized precipitation index, SPI) and vegetation production in China. The model simulations were compared with gridded observational carbon flux data [\(Jung](#page--1-0) et [al.,](#page--1-0) [2009,](#page--1-0) [2011\).](#page--1-0) The objectives of this study were to  $(1)$  explore the diverse impacts of the interactive N and DV on the simulated changes in the response of terrestrial ecosystem carbon cycle to drought, (2) investigate such responses across plant functional types and climatic regions, and (3) discuss why the carbon-nitrogen coupling and dynamical vegetation would predict different responses to drought compared to the carbon-only configuration.

#### **2. Model and methods**

#### 2.1. Model descriptions

The CLM4.5 model is the latest version of the CLM family and contains detailed biophysics, hydrology, and biogeochemistry representations [\(Oleson](#page--1-0) et [al.,](#page--1-0) [2013\).](#page--1-0) Compared to previous versions, CLM4.5 has many new modifications in the physical and biogeochemical parameterizations ([Bonan](#page--1-0) et [al.,](#page--1-0) [2011;](#page--1-0) [Koven](#page--1-0) et [al.,](#page--1-0) [2013;](#page--1-0) [Li](#page--1-0) et [al.,](#page--1-0) [2013;](#page--1-0) [Oleson](#page--1-0) et [al.,](#page--1-0) [2013\).](#page--1-0)

The CLM4.5 model can be operated with a dynamic carbon cycle only (CLM45-C) or with an interactive carbon−nitrogen cycle (CLM45-CN). In addition, the CLM45-CN model configuration includes an option to include vegetation dynamics (CLM45-CNDV). A general description of the carbon−nitrogen cycle and dynamic vegetation processes in CLM4.5 is provided in Section 2.1.1 and [2.1.2,](#page--1-0) respectively. Further detailed descriptions can be found in [Oleson](#page--1-0) et [al.](#page--1-0) [\(2013\).](#page--1-0)

#### 2.1.1. Carbon-nitrogen cycle

In the CLM45-CN model the biophysical framework of CLM (Oleson et [al.,](#page--1-0)  $2013$ ) is merged with the prognostic carbon and nitrogen dynamics of the terrestrial biogeochemistry model Biome-BGC (Biome BioGeochemical Cycles) ([Thornton](#page--1-0) [and](#page--1-0) [Rosenbloom,](#page--1-0) [2005\).](#page--1-0) The integrated model is fully prognostic with respect to all carbon and nitrogen state variables in the vegetation, litter, and soil organic matter, and retains all prognostic quantities for water and energy in the vegetation snow-soil column from CLM4.5. These state variables are tracked for leaf, live stem, dead stem, live coarse root, dead coarse root, and fine root pools.

In CLM45-CN, the maximum rate of carboxylation ( $V_{cmax}$ ) for leaf-level photosynthesis is calculated as following ([Thornton](#page--1-0) [and](#page--1-0) [Zimmermann,](#page--1-0) [2007\):](#page--1-0)

$$
V_{cmax} = V_{cmax25} \cdot f(T_v) \cdot f(DYL) \cdot \beta_t,
$$
\n(1)

$$
V_{\text{cmax25}} = N_a \cdot F_{\text{LNR}} \cdot F_{\text{NR}} \cdot a_{\text{R25}},\tag{2}
$$

where  $V_{cmax25}$  is the maximum rate of carboxylation at 25 °C;  $T_v$ and DYL are leaf temperature and day-length, respectively;  $N_a$  is the area-based N concentration,  $F_{LNR}$ ,  $F_{NR}$ , and  $a_{R25}$  are three constant parameters, which represent the fraction of leaf N in Rubisco, the mass ratio of total Rubisco molecular mass to N in Rubisco, and the specific activity of Rubisco, respectively.  $\beta_t$  is a soil water stress function, representing the influences of soil moisture on leaf-level photosynthesis. In addition, soil water has a direct effect on the leaf stomatal conductance  $g_s$  (i.e., the inverse of stomatal resistance  $r_s$ ) through the Ball−Berry conductance model expressed as Eq. (3) [\(Collatz](#page--1-0) et [al.,](#page--1-0) [1991\):](#page--1-0)

$$
\frac{1}{r_s} = g_s = m \frac{A_n}{c_s/P_{atm}} h_s + b\beta_t, \qquad (3)
$$

where  $m$  is a plant functional type (PFT) dependent parameter,  $A_n$  is leaf net photosynthesis,  $c_s$  is the CO<sub>2</sub> partial pressure at the leaf surface,  $P_{atm}$  is the atmospheric pressure,  $h_s$  is the leaf surface humidity, b is the minimum stomatal conductance, and  $\beta_t$  is a soil water stress function. The function  $\beta_t$  ranges from one when the soil is wet to near zero when the soil is dry and is given:

$$
\beta_t = \sum_i w_i r_i,\tag{4}
$$

where  $w_i$  is a plant wilting factor and depends on the soil water potential of each soil layer;  $r_i$  is the distribution of roots in layer i and depends on the plant functional type [\(Oleson](#page--1-0) et [al.,](#page--1-0) [2013\).](#page--1-0) Eq. (4) suggests that soil moisture estimations affect the accuracy of terrestrial carbon fluxes through the soil water stress function  $\beta_t$ ; in turn,  $\beta_t$  has a large impact on soil water content simulation through the transpiration in each layer, called the "soil moisture sink term" (evapotranspiration loss) in the soil water equation [\(Jia](#page--1-0) et [al.,](#page--1-0) [2013a\).](#page--1-0)

The canopy-level photosynthesis (or gross primary production, GPP) is derived by summing the sunlit and shaded leaf-level photosynthesis rates multiplied by the sunlit and shaded leaf area indices, including potential reductions due to limited availability of mineral Download English Version:

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