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Spatial-temporal characteristics of haze and vertical distribution of aerosols over the Yangtze River Delta of China

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ABSTRACT

Variation of haze events occurred in the Yangtze River Delta (YRD) of China, the 15 characteristics of meteorological elements and the vertical distribution of aerosols during 16 haze episodes were analyzed by utilizing data of ground observation, radiosonde and 17 CALIPSO. The results illustrate that the frequency of haze events between 1981 and 2010 18 peaked in winter but bottomed out in summer and decreased from north to south in the 19 YRD region, reaching at the lowest point in "low frequency center" — Shanghai. When haze 20 happened, the most seriously affected area was 2-4 km above the ground and the 21 concentrated range of total backscattering coefficient (TBC) that decreased with altitude 22 was 0.8 \times 10⁻³–2.5 \times 10⁻³ km⁻¹/sr. Particulate depolarization ratio (PDR) was less than 40% 23 in a large part and 93% aerosols over the YRD area were regular particles, while the irregular 24 ones concentrated on 2 km above the surface and the irregularity rose up but the diversity 25 diminished when altitude increased. Color ratio (CR) was lower than 1.2 mostly at all 26 altitudes and distributed asymmetrically above the ground. Nearly 80% aerosols under 27 10 km were fine particles (CR < 1.0) and 22.54% coarse particles (CR > 1.0) clustered at 2- 28 4 km. Large particles (CR > 1.2) aggregated in lower troposphere massively yet relatively 29 smaller ones gathered in middle and upper troposphere. In the YRD region, aerosols with 30 more powerful capabilities were wider and less regular than the ones of Northwestern 31 China. 32

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46 Introduction

Haze is one of air pollution phenomena, which is defined as
visibility lower than 10 km when relative humidity is below 80%
without precipitation, dust storm, blowing sand, floating dusts,
smoke, blowing snow and snow storms (China Meteorological
Administration, 2010) and whose higher frequency of occurrence
in recent years and pernicious effects on human body have

raised public concern as well as the scientific community in 54 China (Zhang et al., 2012, 2015). 55

The Yangtze River Delta (YRD) region that covers about 56 99,600 km² with a population of 150 million, located in the 57 east coast of China and including 16 core cities (the Shanghai 58 municipality, 7 cities in the north of Zhejiang Province and 8 59 cities in the south of Jiangsu Province), contributed 18.5% of 60 the national GDP in 2014. However, due to its rapid economic 61

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development with dramatic increased in energy consumption 62 and pollutant emissions in the last 30 years, regional air 63 pollution problems have become more and more salient, such 64 as deteriorated urban air quality and declined visibility, all of 65 which have made the YRD, together with the Pearl River Delta, 66 Beijing-Tianjin-Tangshan and Sichuan-Chongqing, become 67 one of the four heaviest haze regions in China (Fu et al., 2008; 68 Wang et al., 2012; Cheng et al., 2013, 2014). 69

70 Previous studies have revealed that unfavorable meteoro-71 logical elements, e.g., high relative humidity, low rainfall, wind speed, atmospheric pressure, and extremely high concentra-72 tions of aerosol particles, including fine particulate matter, 73 played critical roles in the formation of haze in the YRD area 74 (Fu et al., 2008; Cheng et al., 2013; Tian et al., 2016; M. Wang et al., 752015; W. Wang et al., 2015; Zhang et al., 2015). In addition, 06 05 optical, microphysical properties, such as: size distribution, 77 number concentration, and temporal-spatial distribution of 78 aerosols during haze episodes were detected by ground-based 79 field sampling measurements (Fu et al., 2008; Zhang et al., 2012, 80 2017; Cheng et al., 2013; Hu et al., 2014; Wang et al., 2014; 81 M. Wang et al., 2015; W. Wang et al., 2015; Tian et al., 2016; Cui 82 et al., 2016). Furthermore, numerical models were utilized to 83 simulate the transport trajectory and forecast urban air quality 84 85 in haze weather (Wang et al., 2012; Cheng et al., 2014), emission 86 inventories of pollutants of the YRD region were also established 87 (Huang et al., 2011; Fu et al., 2013). However, due to limitations of 88 ground-based observations, data of vertical distribution of haze 89 aerosols have still been difficult to achieve although it is an important part that cannot be neglected in estimating aerosol 90 radiative forcing and its associated climate impacts (Claquin 91 et al., 1998; Huang et al., 2008; Geng et al., 2011). 07

The CALIPSO (Cloud-Aerosol Lidar and Infrared Pathfinder 93 Satellite Observations) that was launched in 2006 can observe 94aerosols beneath thin clouds and provide aerosol profiles 95reflecting vertical structures over regional and global scales 96 (Anselmo et al., 2005; Geng et al., 2011) while the data have 08 mainly been exploited to analyze dust aerosols hitherto (Chen 98 et al., 2010; Deng and Zhang, 2016; M. Wang et al., 2015; W. Wang 99 et al., 2015; Wang et al., 2017) over northwestern China and 09 researches on combining CALIPSO data with radiosonde data in 101 the YRD area have still rarely been reported, which yet can 102103 endow us with two different opposite view angles on aerosols during haze periods when CALIPSO detects them straight down 104from sky to ground while radiosonde straight up from ground to 105106 sky.

Therefore, in this paper, we employed ground observations, satellite detection and aerological soundings to explore variation of haze events occurred over the YRD region, vertical structure of aerosol optical properties and meteorological factors in the boundary layer when haze formed, respectively. These analyses depict a new picture on haze and aerosols in the YRD so as to provide scientific basis for haze forecast.

114 **1. Data and methods**

116 **1.1. Study domain**

Seventeen meteorological stations (10 in Jiangsu Province, 2 in Shanghai municipality and 5 in Zhejiang Province) were selected to cover the area from 117.09°E to 121.27°E and 28.37°N to 34.17°N $_{119}$ that represents the majority of the YRD area (Fig. 1a). $_{120}$

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1.2. Ground observations and radiosonde data

All ground observations, including humidity and visibility, 122 and radiosonde data, covering wind speed, direction, temper-123 ature and dew point temperature difference, from January 124 1981 to December 2016 of 18 meteorological stations (Fig. 1a), 125 obtained from the China Meteorological Data Service Center 126 and the Data Center of Ministry of Environmental Protection 127 of China, were used to identify haze. Ground observations 128 were operated four times a day at Beijing Time 02:00, 08:00, 129 14:00 and 20:00, respectively while radiosonde data were 130 attained at Beijing Time 00:00 and 12:00 only.

1.3. CALIPSO data

CALIPSO Level 2 Aerosol Profile Data Product both in daytime 133 and nighttime condition from January 2014 to June 2016, 134 including total backscatter coefficient (TBC) at 532 nm, 135 particulate depolarization ratio (PDR) and backscatter coeffi-136 cient at 1064 nm were utilized.

PDR is defined as the ratio of the perpendicular and parallel 138 components of particulate backscatter coefficient at 532 nm, 139 which displays the degree of irregularity of the detected particles; 140 the higher the PDR is, the more irregular the particles are. Color 141 ratio (CR) is defined as the ratio of backscatter coefficient at 142 1064 nm and that at 532 nm, reflecting the size of aerosols; the 143 higher the CR is, the larger the particles are (Anselmo et al., 2005; **Q10** Geng et al., 2011). 145

1.4. Methodology

After checking the data quality to ensure integrity and consis- 147 tency, according to the statistical method of haze (China 148 Meteorological Administration, 2010), we firstly summarized 149 the 30-year spatial-temporal characteristics of haze in 12 150 stations (Fig. 1b) of the YRD between 1981 and 2010 and sifted 151 190 haze events from January 2014 to June 2016. Then the 152 meteorological factors of 7 stations (Xuzhou, Sheyang, Nanjing, 153 Baoshan, Hangzhou, Quzhou and Hongjia) and vertical 154 distribution of aerosol optical properties during haze episodes 155 were analyzed based upon the radiosonde data and CALIPSO, 156 respectively. 157

2. Results and discussions

2.1. Spatial–temporal characteristics of haze

If there is no precipitation, dust storm, blowing sand, floating 161 dust, smoke, blowing snow and snow storm on one certain 162 day, meanwhile, visibility and relative humidity are below 163 10 km and 80%, respectively, then we determine it as one 164 "haze day". Variation of 30-year accumulated haze days 165 (Fig. 1b) illustrates that the frequency of haze events between 166 the period of 1981 and 2010 decreased generally from north to 167 south over the YRD region although with an episodic steady 168 growth in Zhejiang Province, reaching at the lowest point 169

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