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Q6 **Mesoporous carbon nanomaterials induced pulmonary**
 2 **surfactant inhibition, cytotoxicity, inflammation and**
 3 **lung fibrosis**

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ABSTRACT

Environmental exposure and health risk upon engineered nanomaterials are increasingly 21
 concerned. The family of mesoporous carbon nanomaterials (MCNs) is a rising star in 22
 nanotechnology for multidisciplinary research with versatile applications in electronics, 23
 energy and gas storage, and biomedicine. Meanwhile, there is mounting concern on their 24
 environmental health risks due to the growing production and usage of MCNs. The lung is the 25
 primary site for particle invasion under environmental exposure to nanomaterials. Here, we 26
 studied the comprehensive toxicological profile of MCNs in the lung under the scenario of 27
 moderate environmental exposure. It was found that at a low concentration of 10 µg/mL MCNs 28
 induced biophysical inhibition of natural pulmonary surfactant. Moreover, MCNs at similar 29
 concentrations reduced viability of J774A.1 macrophages and lung epithelial A549 cells. 30
 Incubating with nature pulmonary surfactant effectively reduced the cytotoxicity of MCNs. 31
 Regarding the pro-inflammatory responses, MCNs activated macrophages *in vitro*, and 32
 stimulated lung inflammation in mice after inhalation exposure, associated with lung fibrosis. 33
 Moreover, we found that the size of MCNs played a significant role in regulating cytotoxicity 34
 and pro-inflammatory potential of this nanomaterial. In general, larger MCNs induced more 35
 pronounced cytotoxic and pro-inflammatory effects than their smaller counterparts. Our 36
 results provided valuable information on the toxicological profile and environmental health 37
 risks of MCNs, and suggested that fine-tuning the size of MCNs could be a practical 38
 precautionary design strategy to increase safety and biocompatibility of this nanomaterial. 39

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54 Introduction

55 Mesoporous carbon nanomaterials (MCNs) possess desirable
56 physicochemical properties, including chemical stability, high
57 electric and thermal conductivity and strong optical absorption
58 feature (Xu et al., 2014). Moreover, MCNs possess a well-organized
59 pore network, with the pore size usually ranging from 1.5 to
60 10 nm (Xu et al., 2014). This intricate porosity generates a high
61 surface area and high pore volume, which endow this material
62 with enhanced capability in storing energy and chemotherapeutic
63 drugs (Kim et al., 2008; Vallet-Regí et al., 2007). Owing to these
64 pronounced physicochemical properties, MCNs have been
65 squeezed into the cutting edge of nanotechnology. Studies have
66 revealed promising applications of MCNs in a large array of
67 biomedical branches, such as cancer nanotheranostics and
68 biosensing. The development of MCN-centered biomedicine
69 requires in-depth understanding about its biocompatibility,
70 biosafety and potential health risks. Compared to other carbon
71 nanomaterials, the current understanding on the biocompatibility
72 and health effects of MCNs is rather limited. Thus, sufficient
73 insights into its toxicity profile become a prerequisite for further
74 development of MCN-based applications.

75 In general, the respiratory system is most susceptible to
76 environmental exposure to airborne nanomaterials. Although
77 relatively large particles can be cleared by the mucociliary
78 escalator in airway, nanomaterials such as MCNs may penetrate
79 deeply into the lung. The entire air-water interface of the lung
80 is coated with an adsorbed pulmonary surfactant film (Zuo
81 et al., 2008). The pulmonary surfactant is composed of approx-
82 imately 90% phospholipids and 10% surfactant-associated pro-
83 teins by weight (Lopez-Rodriguez and Pérez-Gil, 2014). The major
84 physiological function of the pulmonary surfactant is to act as the
85 first-line host defense against inhaled particles and pathogens,
86 and to reduce the alveolar surface tension against lung collapse.
87 Deficiency or dysfunction of the pulmonary surfactant leads to
88 pathological pulmonary conditions such as the respiratory
89 distress syndrome and acute lung injury (Lewis and Veldhuizen,
90 2003).

91 The pulmonary surfactant film functions as the initial
92 biological barrier against inhaled particles (Fan et al., 2011; Hu
93 et al., 2013). Consequently, interacting with the inhaled particles
94 may adversely affect the biological function of the pulmonary
95 surfactant film. Accumulating evidence showed that various
96 engineered nanomaterials inhibited the biological function of
97 the pulmonary surfactant, i.e., abolished its ability of reducing
98 surface tension to near-zero values (Beck-Broichsitter et al., 2011;
99 Fan et al., 2011; Sachan et al., 2012). It was found that the extent
100 of surfactant inhibition caused by the inhaled nanomaterials
101 largely depended on their physicochemical properties, such as
102 the chemical, size, shape, surface area, hydrophobicity, and
103 surface charge of the nanomaterials (Beck-Broichsitter et al.,
104 2011; Fan et al., 2011; Sachan et al., 2012). After interaction and
105 translocation across the pulmonary surfactant film, inhaled
106 nanomaterials are in direct contact with the alveolar epithelium
107 cells and macrophages, posing detrimental effects on cells and
108 even causing inevitable cell death (Xia et al., 2016). Meanwhile,
109 some invaded particles can be phagocytosed by resident
110 macrophages (Xia et al., 2016). Therefore, macrophages form
111 a group of important sentinels to sense and engulf invading

particles (Thomas et al., 2013). Macrophages are also activated
112 through sensing molecules to enhance pro-inflammatory
113 responses by secreting inflammatory cytokines and recruiting
114 additional inflammatory leukocytes (Sandberg et al., 2012).
115

116 In this study, we systematically evaluated the biocom-
117 patibility and potential toxicity associated with MCNs of
118 various sizes. We first evaluated the potential adverse
119 biophysical effect of MCNs on natural pulmonary surfac-
120 tant. Then, we studied the toxic effects of MCNs through
121 investigating cell viability, cellular uptake, oxidative stress,
122 pro-inflammatory responses *in vitro* and *in vivo*, and lung
123 fibrosis in mice. We found that MCNs caused pulmonary
124 surfactant inhibition and induced significant cytotoxicity
125 to macrophages. This study opened a new avenue to under-
126 stand the adverse biological effects and environmental health
127 risks of MCNs.

1. Materials and methods

1.1. Synthesis and characterization of MCNs

131 Zinc nitrate hexahydrate ($\text{Zn}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$), 2-methylimidazole,
132 cetyltrimethylammonium bromide (CTAB), tetraethyl
133 orthosilicate (TEOS), and PEG-Vitamin E (PEG-VE) were purchased
134 from Sigma (USA). All reagents were in the analytical grade and
135 were used without further purification. In brief, $\text{Zn}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$
136 (3 amounts at 16, 24 and 32 mmol were individually used for
137 different sizes) was dissolved in 225, 300, and 450 mL methanol,
138 respectively, and 2-methylimidazole (67.5, 101.25 and 135 mmol)
139 were thereafter added into methanol accordingly. Then, two
140 methanol solutions were mixed, and stirred for 2 hr at 25°C.
141 For the intermediate sized MCNs (I-MCNs), 225 mL methanol
142 solution containing 16 mmol $\text{Zn}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$ and 67.5 mmol
143 2-methylimidazole were individually added into the above
144 solution. For the largest sized MCNs (L-MCNs), 150 mL methanol
145 solution containing 8 mmol $\text{Zn}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$ and 33.75 mmol
146 2-methylimidazole were accordingly added into the above
147 solution. For the smallest sized MCNs (S-MCNs), no solution was
148 added. Finally, imidazolate framework (ZIF-8), a carbon precur-
149 sor, was obtained through centrifugation and washing. Next, the
150 carbon precursors were dispersed in 240 mL methanol (pH 11,
151 adjusted by NaOH), and the CTAB solution (0.8 mmol/L) was
152 added. TEOS (1.2 mL) was then added dropwise into the above
153 mixed solution, and the resulting solution was stirred for 0.5 hr.
154 The carbon precursor@mSiO₂ was separated by centrifugation,
155 and washed with ethanol. Afterwards, the carbon precursor@
156 mSiO₂ was pyrolyzed for 2 hr under N₂ atmosphere at 800°C, and
157 was cooled naturally to room temperature. The samples were
158 added into 4 mol/L NaOH solution to remove the mSiO₂ shell.
159 The obtained samples were centrifuged and washed with water
160 until the pH of supernatant reached 7.0. Finally, all samples were
161 modified by PEG-VE (1 kD). The morphology and size of MCNs
162 were characterized by H-7500 electron microscope (TEM) and
163 SU8020 scanning electron microscope (SEM). The zeta potential
164 and hydrodynamic diameter were measured using dynamic
165 light scattering (DLS, Malvern, UK). In addition, carbon black (CB),
166 purchased from Macklin, was used as a reference carbonaceous
167 material in the current study.

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