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# Type, cotype and twisted sums induced by complex interpolation <sup>☆</sup>



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## ABSTRACT

This paper deals with extensions or twisted sums of Banach spaces that come induced by complex interpolation and the relation between the type and cotype of the spaces in the interpolation scale and the nontriviality and singularity of the induced extension. The results are presented in the context of interpolation of families of Banach spaces, and are applied to the study of submodules of Schatten classes. We also obtain nontrivial extensions of spaces without the CAP which also fail the CAP.

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## 1. Introduction

A twisted sum of Banach spaces  $Y$  and  $Z$  is a short exact sequence

$$0 \longrightarrow Y \xrightarrow{i} X \xrightarrow{q} Z \longrightarrow 0,$$

where  $X$  is a quasi-Banach space and the arrows are bounded linear maps.

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The theory of twisted sums of Banach spaces has been successfully used in the study of the so called 3-space properties. Given a property  $P$  of Banach spaces,  $P$  is said to be a 3-space property (3SP) if for every Banach space  $X$  having a closed subspace with  $P$  and respective quotient also with  $P$ ,  $X$  has  $P$ . Simple examples of 3SP are separability, having finite dimension and reflexivity.

To see how twisted sums and 3SP are related, consider the following problem of Palais: is being isomorphic to a Hilbert space a 3SP? Finding a counterexample to this problem corresponds to finding a twisted sum

$$0 \longrightarrow H_1 \longrightarrow X \longrightarrow H_2 \longrightarrow 0,$$

where  $H_1$  and  $H_2$  are Hilbert spaces and  $H_1$  is not complemented in  $X$  (in this case,  $X$  is automatically isomorphic to a Banach space).

In [21], Enflo, Lindenstrauss and Pisier show that there is such counterexample. Kalton and Peck gave a solution some years later ([28]) using nonlinear maps called quasi-linear. They obtained a twisted sum:

$$0 \longrightarrow \ell_2 \longrightarrow Z_2 \longrightarrow \ell_2 \longrightarrow 0 \tag{1.1}$$

in which the copy of  $\ell_2$  in  $Z_2$  is not complemented.

The Kalton–Peck space  $Z_2$  also appears in a construction due to Rochberg and Weiss which is possible every time we have a compatible pair  $(X_0, X_1)$  in the sense of interpolation (see [35]). Given  $(X_0, X_1)$ , for each  $\theta \in (0, 1)$  we have a twisted sum of  $X_\theta$  with itself, denoted  $dX_\theta$ . In this context the Kalton–Peck space comes induced by the interpolation scale  $(\ell_\infty, \ell_1)$  at  $\theta = \frac{1}{2}$ .

Given two Banach spaces  $Y$  and  $Z$ , we always have a trivial twisted sum by means of the direct sum  $Y \oplus Z$  with the obvious inclusion and quotient map. So the first objective in the theory is obtaining nontrivial twisted sums, i.e., twisted sums in which the subspace is not complemented in the middle space.

In [26], under the assumption of super-reflexivity, Kalton shows that the twisted sum induced by a compatible pair of Köthe function spaces  $(X_0, X_1)$  is boundedly trivial (a subclass of trivial twisted sums) exactly when  $X_0 = X_1$ . One of the results of a preprint of the author with Castillo, Ferenczi and González ([10]) is that, under the same assumptions, the twisted sum induced by a compatible pair of Köthe function spaces  $(X_0, X_1)$  at  $\theta$  is trivial precisely when  $X_1$  is a weighted version of  $X_0$ . The question of which interpolation scales generate trivial twisted sums is still open in the general scenario.

Banach’s Hyperplane Problem asks if Banach spaces are always isomorphic to its hyperplanes. In [24], Gowers answered this problem in the negative. The Kalton–Peck space is naturally isomorphic to its subspaces of codimension 2, but it is still unknown if it is isomorphic to its hyperplanes. Since it appears more naturally than Gower’s construction, it would be interesting to know if it also answers in the negative the Hyperplane Problem.

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