



# Sharp Hardy constants for annuli



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## ARTICLE INFO

### Article history:

Received 2 April 2017

Submitted by E. Saksman

### Keywords:

Hardy inequality

Conformal modulus

Hypergeometric function

Rellich type inequality

## ABSTRACT

We consider the Hardy inequality in canonical doubly connected plane domains. For any annulus  $A$  we determine sharp Hardy's constant  $c_2(A)$  in function of conformal modulus  $M(A)$ . Namely, for any annulus  $A$  with fixed conformal modulus  $M(A) = M$  we prove that

$$c_2(A) = \begin{cases} 1/4, & \text{if } M \in (0, M^*]; \\ \gamma(2 - \gamma)/4, & \text{if } M \in (M^*, \infty), \end{cases}$$

where  $\gamma = \gamma(M) \in (1, 2)$ . The critical modulus  $M^* \approx 0.57298$  and the values of  $\gamma(M)$  are found as roots of certain equations, containing the Gauss hypergeometric functions. In particular, we show that the sharp Hardy constants  $c_2(A)$  depend on  $M$  continuously and that they tend to zero as  $M \rightarrow \infty$ . In addition, we describe an application of results to a Rellich type inequality.

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## 1. Introduction

Let  $\Omega \subset \mathbb{C}$  be a plane domain such that  $\Omega \neq \mathbb{C}$ . We consider functions  $\varphi : \Omega \rightarrow \mathbb{R}$  and the following Hardy inequality

$$\iint_{\Omega} |\nabla \varphi(z)|^2 dx dy \geq c_2(\Omega) \iint_{\Omega} \frac{\varphi^2(z)}{(\text{dist}(z, \partial\Omega))^2} dx dy, \quad \forall \varphi \in C_0^\infty(\Omega), \quad (1)$$

where  $z = x + iy$ ,  $\text{dist}(z, \partial\Omega) := \inf_{\zeta \in \partial\Omega} |z - \zeta|$  is the distance from a point  $z \in \Omega$  to the boundary of the domain. We suppose that the quantity  $c_2(\Omega)$  is defined as the best possible constant, i.e.

$$c_2(\Omega) = \inf_{\varphi \in C_0^\infty(\Omega), \varphi \neq 0} \frac{\iint_{\Omega} |\nabla \varphi(z)|^2 dx dy}{\iint_{\Omega} \varphi^2(z) (\text{dist}(z, \partial\Omega))^{-2} dx dy}. \quad (2)$$

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There are many results connected with inequality (1) (see, for instance, [2], [7], [9], [11], [12] and the bibliography in [9]). In particular, it is well known that  $c_2(\Omega) > 0$ , i.e. inequality (1) is non-trivial, for any bounded domain  $\Omega$  with locally Lipschitz boundary. From (2) it follows that  $c_2(\Omega) = c_2(a\Omega + b)$ , where  $a, b \in \mathbb{C}$ ,  $a \neq 0$ , and  $a\Omega + b = \{az + b : z \in \Omega\}$ .

The sharp value of  $c_2(\Omega)$  is known for convex domains. Namely, several authors independently proved that  $c_2(\Omega) = 1/4$  for any convex domain  $\Omega \neq \mathbb{C}$  (see a detailed description in [3], [4], [9], [10]). Also, it is proved that  $c_2(\Omega) = 1/4$  for some non-convex domains close to convex in a certain sense (see [4], [9], [10]). By the way, the following Davies problem [10] is still open: is it true that  $c_2(\Omega) \leq 1/4$  for any plane domain  $\Omega \neq \mathbb{C}$ ?

The aim of this paper is to find sharp Hardy constants for annuli of the form

$$A = A(z_0, r_1, r_2) = \{z \in \mathbb{C} : r_1 < |z - z_0| < r_2\}, \quad 0 < r_1 < r_2 < \infty.$$

Since  $c_2(A) = c_2(aA + b)$ ,  $a \neq 0$ , the constant  $c_2(A(z_0, r_1, r_2))$  depends on the conformal modulus

$$M(A(z_0, r_1, r_2)) := \frac{1}{2\pi} \ln \frac{r_2}{r_1},$$

only. In addition, one can find the following properties of  $c_2(A)$ .

(i) From [10] it follows that  $c_2(A) \leq 1/4$  for any annulus  $A$  with finite modulus. (ii) It is known [3] that  $c_2(A) = O(M^{-2}(A))$  as  $M(A) \rightarrow \infty$ . In particular,  $c_2(A(z_0, 0, 1)) = 0$ . (iii) From Theorem 1 in [4] it follows that  $c_2(A) = 1/4$  for annuli with sufficiently small moduli. More precisely, it is proved that  $c_2(A) = 1/4$  for every annulus  $A = A(z_0, r_1, r_2)$  satisfying the condition  $(r_2 - r_1)/2 \leq r_1\Lambda_2$ , where  $\Lambda_2 \approx 2, 4929$ . Therefore, if  $M(A) \leq 0.28479$ , then  $c_2(A) = 1/4$ .

Of course, the problem to find  $c_2(A)$  is widely known. In addition, this paper is stimulated by the following question of A. I. Aptekarev: is it true that given  $\lambda \in (0, 1/4)$  there exists a domain  $\Omega_\lambda$  such that  $c_2(\Omega_\lambda) = \lambda$ ? (raised in the seminar on Complex Analysis (Gonchar seminar), 14.11.2016). The main results of this paper are presented by Theorems 1 and 2, below. For any annulus  $A$  with modulus  $M(A) = M$  we prove that

$$c_2(A) = \begin{cases} 1/4, & \text{if } M \in (0, M^*]; \\ \gamma(2 - \gamma)/4, & \text{if } M \in (M^*, \infty), \end{cases}$$

where  $M^* \approx 0.57298$ ,  $\gamma = \gamma(M) \in (1, 2)$ ,  $\gamma((M^*, \infty)) = (1, 2)$ . In particular, we get a positive answer to the Aptekarev question.

The paper is organized as follows. Using Gauss' hypergeometric functions in Section 2 we give equations to find the critical modulus  $M^*$  and the values of the continuous function  $\gamma : (M^*, \infty) \rightarrow (1, 2)$  and we formulate Theorems 1 and 2. Section 3 contains proofs of Theorems 1 and 2 via 5 lemmas. In Section 4 we examine the behavior of  $c_2(A)$  as  $M(A) \rightarrow \infty$  and describe an application of results to a Rellich type inequality.

## 2. Main results

We need the Euler gamma function  $\Gamma$ , the Gauss hypergeometric equation  $\zeta(1 - \zeta)u'' + (\gamma - (\alpha + \beta + 1)\zeta)u' - \alpha\beta u = 0$  and the hypergeometric series

$$F(\alpha, \beta; \gamma; \zeta) = 1 + \frac{\Gamma(\gamma)}{\Gamma(\alpha)\Gamma(\beta)} \sum_{n=1}^{\infty} \frac{\Gamma(n + \alpha)\Gamma(n + \beta)}{n!\Gamma(n + \gamma)} \zeta^n, \quad |\zeta| < 1,$$

with the following parameters:

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