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# Neighbor sum distinguishing total chromatic number of planar graphs

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#### ABSTRACT

Let G = (V(G), E(G)) be a graph and  $\phi$  be a proper k-total coloring of G. Set  $f_{\phi}(v) = \sum_{uv \in E(G)} \phi(uv) + \phi(v)$ , for each  $v \in V(G)$ . If  $f_{\phi}(u) \neq f_{\phi}(v)$  for each edge  $uv \in E(G)$ , the coloring  $\phi$  is called a k-neighbor sum distinguishing total coloring of G. The smallest integer k in such a coloring of G is the neighbor sum distinguishing total chromatic number, denoted by  $\chi_{\Sigma}^{v}(G)$ . In this paper, by using the famous Combinatorial Nullstellensatz, we determine  $\chi_{\Sigma}^{v}(G)$  for any planar graph G with  $\Delta(G) \ge 13$ .

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#### 1. Introduction

The terminology and notation used but undefined in this paper can be found in [2]. In this paper, we only consider simple, finite and undirected graphs. Let G = (V(G), E(G)) be a graph with maximum degree  $\Delta(G)$ . Let  $d_G(v)$  or simply d(v) denote the degree of a vertex v in G. A vertex v is a t – vertex  $(t^+$  – vertex,  $t^-$  – vertex) if d(v) = t  $(d(v) \ge t, d(v) \le t)$ . Let  $d_t(v)$   $(d_{t^-}(v), d_{t^+}(v))$  denote the number of t-vertices  $(t^-$ -vertices) adjacent to v. Let N(v) denote the neighbor set of the vertex v of G. Let G = (V(G), E(G), F(G)) be a plane graph. An l-face  $f = v_1v_2 \dots v_l \in F(G)$  is a  $(b_1, b_2, \dots, b_l)$ -face, if  $v_i$  is a  $b_i$ -vertex, for  $i = 1, 2, \dots, l$ .

Given a graph *G* and a proper *k*-total coloring  $\phi : V(G) \cup E(G) \rightarrow \{1, 2, ..., k\}$ . The coloring  $\phi$  is a *k*-neighbor sum (set) distinguishing total coloring if  $f_{\phi}(u) \neq f_{\phi}(v)(S_{\phi}(u) \neq S_{\phi}(v))$  for each edge  $uv \in E(G)$ , where  $f_{\phi}(v)(S_{\phi}(v))$  is the sum (set) of colors on the edges incident with v and the color on the vertex v. The smallest number k in such a coloring of graph *G* is the neighbor sum (set) distinguishing total chromatic number, denoted by  $\chi_{\Sigma}''(G)(\chi_a''(G))$ . Clearly, for any graph *G*,  $\chi_a''(G) \leq \chi_{\Sigma}''(G)$ . All colorings considered in this paper are proper colorings. Zhang et al. [25] proposed the following conjecture.

**Conjecture 1.1** [25]. For any graph G,  $\chi_a''(G) \le \Delta(G) + 3$ .

Huang and Wang [8] showed that Conjecture 1.1 holds for planar graphs with  $\Delta(G) \ge 11$ , which was extended to  $\Delta(G) \ge 10$  by Cheng et al. [4]. Wang and Huang [21] showed that if *G* is a planar graph with  $\Delta(G) \ge 13$ , then  $\chi_a''(G) \le \Delta(G) + 2$ , meanwhile, they proved that if *G* has no adjacent  $\Delta(G)$ -vertices, then  $\chi_a''(G) = \Delta(G) + 1$ .

For *k*-neighbor sum distinguishing total coloring (or simply *k*-tnsd-coloring), Pilśniak and Woźniak [12] gave the following conjecture.

**Conjecture 1.2** [12]. For any graph G,  $\chi_{\Sigma}''(G) \leq \Delta(G) + 3$ .

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Since  $\chi_a''(G) \le \chi_{\Sigma}''(G)$  for any graph *G*, Conjecture 1.2 implies Conjecture 1.1. Conjecture 1.2 was confirmed for complete graphs, cycles, bipartite graphs and subcubic graphs in [12]. Dong and Wang [5] showed that Conjecture 1.2 holds for some sparse graphs. Yao et al. [23,24] considered tnsd-coloring of degenerate graphs. [6,14] considered the list version of tnsd-coloring of graphs. [7,10,11,17–20] considered tnsd-coloring of planar graphs with cycle restrictions. Song and Xu [16] determined  $\chi_{\Sigma}''(G)$  for any  $K_4$ -minor free graph *G* with  $\Delta(G) \ge 5$ . Li et al. [9] showed that Conjecture 1.2 holds for planar graphs with maximum degree at least 13, and subsequently the result was improved by Qu et al. [13] and by Yang et al. [22]. Cheng et al. [3] showed that if *G* is a planar graph with  $\Delta(G) \ge 14$ , then  $\chi_{\Sigma}''(G) \le \Delta(G) + 2$ . Recently, Song et al. [15] improved this result and get that:

**Theorem 1.1** [15]. Let G be a planar graph with maximum degree  $\Delta(G)$ . Then  $\chi_{\Sigma}^{r'}(G) \leq \max\{\Delta(G) + 2, 14\}$ .

In this paper, we get the following results.

**Theorem 1.2.** Let G be a planar graph without adjacent maximum degree vertices. Then  $\chi_{\Sigma}^{"}(G) \leq \max\{\Delta(G) + 1, 14\}$ .

Since  $\chi_{\Sigma}''(G) \ge \Delta(G) + 1$  for any graph *G*, and if *G* has adjacent  $\Delta(G)$ -vertices, then  $\chi_{\Sigma}''(G) \ge \Delta(G) + 2$ . Thus we get the following corollary.

**Corollary 1.1.** Let G be a planar graph and  $\Delta(G) \ge 13$ . If G has no adjacent  $\Delta(G)$ -vertices, then  $\chi_{\Sigma}''(G) = \Delta(G) + 1$ , otherwise  $\chi_{\Sigma}''(G) = \Delta(G) + 2$ .

Clearly, this result implies the result in [21].

#### 2. Preliminaries

For any graph *G*, set  $n_i(G) = |\{v \in V(G) \mid d_G(v) = i\}|$  for each positive integer. A graph *G'* is *smaller* than *G* if one of the following holds:

- (1) |E(G')| < |E(G)|;
- (2) |E(G')| = |E(G)| and  $(n_t(G'), n_{t-1}(G'), \dots, n_1(G'))$  precedes  $(n_t(G), n_{t-1}(G), \dots, n_1(G))$  with respect to the standard lexicographic order, where  $t = \max{\{\Delta(G), \Delta(G')\}}$ .

A graph is *minimum* for a property when no smaller graph satisfies it.

Let  $P = P(x_1, x_2, ..., x_n)$  be a polynomial in  $n \ (n \ge 1)$  variables. Set  $c_P(x_1^{k_1} x_2^{k_2} ... x_n^{k_n})$  be the coefficient of the monomial  $x_1^{k_1} x_2^{k_2} ... x_n^{k_n}$  in P, where  $k_i \ (1 \le i \le n)$  is a non-negative integer. We need the famous Combinatorial Nullstellensatz to get our main result.

**Lemma 2.1** [1]. (Combinatorial Nullstellensatz). Let  $\mathbb{F}$  be an arbitrary field, and let  $P = P(x_1, x_2, ..., x_n)$  be a polynomial in  $\mathbb{F}[x_1, x_2, ..., x_n]$ . Suppose the degree of P equals  $\sum_{i=1}^n k_i$ , where each  $k_i$  is a nonnegative integer, and suppose  $c_P(x_1^{k_1}x_2^{k_2}...x_n^{k_n}) \neq 0$ . If  $S_1, ..., S_n$  are subsets of  $\mathbb{F}$  with  $|S_i| > k_i$ , there are  $s_1 \in S_1, ..., s_n \in S_n$  so that  $P(s_1, ..., s_n) \neq 0$ .

#### 3. The proof of Theorem 1.2

We will prove Theorem 1.2 by contradiction. Let *G* be a minimum counterexample of Theorem 1.2. Let  $k = \max \{\Delta(G) + 1, 14\}$ . By the choice of *G*, any planar graph *G'* without adjacent  $\Delta(G')$ -vertices which is smaller than *G* has a *k*-tnsd-coloring  $\phi'$ . In the following, we will choose some planar graph *G'* smaller than *G* and extend the coloring  $\phi'$  of *G'* to the desired coloring  $\phi$  of *G* to obtain a contradiction. Without special remark, for any  $x \in (V(G) \cup E(G)) \cap (V(G') \cup E(G'))$ , let  $\phi(x) = \phi'(x)$ . For a vertex  $v \in V(G)$  with d(v) = l, we usually set  $N(v) = \{v_1, v_2, \dots, v_l\}$ .

Noting that when we color a 4<sup>-</sup>-vertex, it has at most 12 forbidden colors and  $k \ge 14$ , so we can color it easily. Thus in the following proof, we will omit the colors of all 4<sup>-</sup>-vertices. In all figures of this paper, the degrees of black vertices are shown and the degrees of open vertices are at least shown.

**Claim 1.** For each vertex  $v \in V(G)$ , if  $d_1(v) \ge 1$ , then  $d_2(v) = 0$ .

**Proof.** Suppose to the contrary that *G* has a vertex *v* which is adjacent to a 1-vertex  $v_1$  and a 2-vertex  $v_2$ . Let  $N(v_2) = \{u, v\}$  and *G'* be the graph by splitting  $v_2$  into  $v_2$  and  $v'_2$  (see Fig. 1). Thus *G'* has a *k*-tnsd-coloring  $\phi'$ . For each  $x \in V(G) \cup E(G) \setminus \{v_2u, vv_1, vv_2, v_1, v_2\}$ , set  $\phi(x) = \phi'(x)$ . And set  $\phi(v_2u) = \phi'(v'_2u)$ ,  $\phi(vv_2) \in \{\phi'(vv_1), \phi'(vv_2)\} \setminus \{\phi(v_2u)\}$ ,  $\phi(vv_1) \in \{\phi'(vv_1), \phi'(vv_2)\} \setminus \{\phi(vv_2)\}$ .  $v_1, v_2$  are 2<sup>-</sup>-vertices. We can get a *k*-tnsd-coloring  $\phi$  of *G*, a contradiction.  $\Box$ 

**Claim 2.** Each  $t^-$ -vertex is not adjacent to any  $(10 - t)^-$ -vertex in G, where t = 5, 6.

**Proof.** On the contrary, we assume that a *t*-vertex *u* is adjacent to a (10 - t)-vertex *v* for t = 5, 6 (other cases can be proved easier). Let  $N(u) = \{v, u_1, ..., u_{t-1}\}$ ,  $N(v) = \{u, v_1, ..., v_{9-t}\}$ . Let G' = G - uv, then G' has a *k*-tnsd-coloring  $\phi'$ . First, delete the colors of *u* and *v*. Next we will color uv and recolor u, v. Let  $S_1, S_2, S_3$  be the sets of available colors for u, uv, v. Since

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