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# Graphs with maximum average degree less than $\frac{11}{4}$ are (1, 3)-choosable

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#### ABSTRACT

The well known 1–2–3-Conjecture asserts that every connected graph *G* with at least three vertices can be edge weighted with 1, 2, 3, so that for any two adjacent vertices *u* and *v*, the sum of the weights of the edges incident to *u* is distinct from the sum of the weights of the edges incident to *v*. In this paper, we consider the list version of this problem and prove that graphs with maximum average degree smaller than  $\frac{11}{4}$  are strongly (1, 3)-choosable, which implies that the 1–2–3 conjecture is true for such graphs. This improves the results in Cranston et al. (2014)[7] and Przybyło et al. (2017).

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#### 1. Introduction

Suppose G = (V, E) is a graph. For each  $z \in V(G) \cup E(G)$ , let  $x_z$  be a variable associated to z. Let  $E_G(v)$  be the set of edges incident to v. Fix an arbitrary orientation D of G. Define polynomial  $P_G(\{x_z : z \in V(G) \cup E(G)\})$  as follows:

$$P_G(\{x_z : z \in V(G) \cup E(G)\}) = \prod_{uv \in E(D)} \left( \left( \sum_{e \in E(v)} x_e + x_v \right) - \left( \sum_{e \in E(u)} x_e + x_u \right) \right).$$

An *index function* of *G* is a mapping  $\eta$  which assigns to each vertex or edge *z* of *G* a non-negative integer  $\eta(z)$ . An index function  $\eta$  of *G* is *valid* if  $\sum_{z \in V \cup E} \eta(z) = |E|$ . Note that polynomial  $P_G$  has degree |E|. For a valid index function  $\eta$ , let  $c_{\eta,G}$  be the coefficient of the monomial  $\prod_{z \in V \cup E} x_z^{\eta(z)}$  in the expansion of  $P_G$ .

**Definition 1.** Assume *G* is a graph and  $\eta$  is an index function of *G*. If there is a valid index function  $\eta'$  such that  $\eta'(z) < \eta(z)$  for all *z*, and  $c_{\eta',G} \neq 0$ , then we say *G* is strongly  $\eta$ -choosable. The index function  $\eta'$  is called a *witness of G being strongly*  $\eta$ -choosable.

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The concept of strongly  $\eta$ -choosability is motivated by total weighting of graphs.

Assign a real number  $\phi(z)$  to the variable  $x_z$ , and view  $\phi(z)$  as the weight of z. Let  $P_G(\phi)$  be the evaluation of the polynomial at  $x_z = \phi(z)$ . We say  $\phi$  is a proper total weighting of G if  $P_G(\phi) \neq 0$ . In other words,  $\phi$  is a proper total weighting of G if for any two adjacent vertices u and v,  $\sum_{e \in E(u)} \phi(e) + \phi(u) \neq \sum_{e \in E(v)} \phi(e) + \phi(v)$ . If  $\phi$  is a proper total weighting of G such that  $\phi(e) = 0$  for all edges e, then  $\phi$  is simply a proper vertex colouring of G. If

If  $\phi$  is a proper total weighting of *G* such that  $\phi(e) = 0$  for all edges *e*, then  $\phi$  is simply a proper vertex colouring of *G*. If  $\phi(v) = 0$  for all vertices *v*, and  $\phi(e) \in \{1, 2, ..., k\}$  for all edges *e*, then  $\phi$  is called a vertex colouring *k*-edge weighting of *G*. The well-known 1–2–3-conjecture, proposed in [10], says that every graph with no isolated edge has a vertex colouring 3-edge weighting. This conjecture remains open. A sequence of papers made progress on this problem and the best result on this conjecture, proved in [9], is that every graph with no isolated edges has a vertex-colouring 5-edge weighting. The 1–2 conjecture, proposed by Przybyło and Woźniak in [12], asserts that every graph *G* has a proper total weighting  $\phi$  with  $\phi(z) \in \{1, 2\}$  for all  $z \in V(G) \cup E(G)$ . The best result on this conjecture is that every graph *G* has a proper total weighting  $\phi$  with  $\phi(v) \in \{1, 2\}$  for  $v \in V(G)$  and  $\phi(e) \in \{1, 2, 3\}$  for  $e \in E(G)$  [8].

For an index function  $\eta$ , we say *G* is  $\eta$ -choosable, if for any total list assignment *L* with  $|L(z)| = \eta(z)$ , *G* has a proper total weighting  $\phi$  and  $\phi(z) \in L(z)$  for all  $z \in V \cup E$ .

It follows from the Combinatorial Nullstellensatz [3,5] that if G is strongly  $\eta$ -choosable, then G is  $\eta$ -choosable. Indeed, strongly  $\eta$ -choosable means " $\eta$ -choosable that can be proved by using Combinatorial Nullstellensatz".

We say a graph is (strongly)(k, k')-choosable if it is  $(strongly)\eta$ -choosable, where  $\eta(v) = k$  for each vertex v and  $\eta(e) = k'$  for each edge e. Note that (k, 1)-choosable is equivalent to vertex k-choosable.

As a strengthening of the 1–2–3-conjecture, it was conjectured in [19] that every graph with no isolated edges is (1, 3)-choosable (or even strongly (1, 3)-choosable). As a strengthening of the analog 1–2-conjecture proposed by Przybyło and Woźniak [13], it was proposed in [19] that every graph is (2, 2)-choosable (or even strongly (2, 2)-choosable).

There are many partial results on the 1–2–3 conjecture and on the total weight choosability conjectures [1,2,6,7,9–20]. It was shown in [20] that every graph is (2, 3)-choosable. However, it is unknown whether there is a constant k such that every graph with no isolated edge is (1, k)-choosable. It is also unknown whether there is a constant k such that every graph is (k, 2)-choosable.

Some special graphs are shown to be (1, 3)-choosable, such as complete graphs, complete bipartite graphs, trees [6], outerplanar graphs [18], Cartesian product of an even number of even cycles, of a path and an even cycle, of two paths [16]. Some special graphs are shown to be (2, 2)-choosable, such as complete graphs [19], outerplanar graphs [18], complete bipartite graphs [17], subcubic graphs, Halin graphs [21], 2-degenerate graphs [18].

It was proved in [10] and [12] that 1–2–3 conjecture and 1–2 conjecture hold for 3-colourable graph. For sparse graphs with  $mad(G) < \frac{5}{2}$ , it was proved in [11] that (2, 2)-choosable conjecture holds, and (1, 3)-choosable conjecture holds for some special list assignments (namely, for those *L* with  $L(v) = \{0\}$  for each vertex *v* and L(e) contains three *positive* numbers for each edge *e*).

In this paper, we prove the following result.

**Theorem 2.** Let G be a graph without an isolated edge and  $mad(G) < \frac{14}{4}$ . Then G is strongly (1, 3)-choosable.

#### 2. Unavoidable configurations

The proof of Theorem 2 uses the discharging method. We shall give a list of configurations. In this section, we prove that a graph *G* with  $mad(G) < \frac{11}{4}$  contains at least one of the listed configurations. In the next section, we prove that any minimal counterexample to Theorem 2 does not contain any of the listed configurations, and hence completes the proof of Theorem 2.

We first give some notations. A vertex of degree k (respectively, at least k or at most k) is called as k-vertex (respectively, a  $k^+$ -vertex, or a  $k^-$ -vertex). A k-neighbour of a vertex v is a neighbour of v which is a k-vertex.

Let  $d_2(v)$  be the number of 2-neighbours of v. A weak (respectively, very weak) vertex is a 3-vertex v with  $d_2(v) \ge 1$  (respectively,  $d_2(v) = 2$ ). A weak neighbour (respectively, a very weak neighbour) of a vertex v is a neighbour of v which is a weak vertex (respectively, very weak vertex).

A vertex v is poor if one of the following holds:

•  $d_2(v) \ge d(v) - 1$ .

• *v* is a weak vertex and has a weak neighbour.

A vertex is rich if it is not poor.

**Lemma 3.** If *G* is a bipartite graph with  $mad(G) < \frac{11}{4}$ , and with minimum degree at least 2, then it contains at least one of the following configurations:

- (C1) A vertex whose neighbours are all 2-vertices.
- (C2) A k-vertex with (k 1) 2-neighbours and one weak neighbour.
- (C3) A 2-vertex adjacent to a 2-vertex or adjacent to two poor vertices.
- (C4) A 3-vertex with three weak neighbours or with one weak neighbour and one very weak neighbour.
- (C5) A 4-vertex with two 2-neighbours and two weak neighbours.

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