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Note On degree sum conditions for 2-factors with a prescribed number of cycles^{*}

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ABSTRACT

For a vertex subset X of a graph G, let $\Delta_t(X)$ be the maximum value of the degree sums of the subsets of X of size t. In this paper, we prove the following result: Let k, m be positive integers, and let G be an *m*-connected graph of order $n \ge 5k - 2$. If $\Delta_2(X) \ge n$ for every independent set X of size $\lceil m/k \rceil + 1$ in G, then G has a 2-factor with exactly k cycles. This is a common extension of the results obtained by Brandt et al. (1997) and Yamashita (2008), respectively.

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1. Introduction

In this paper, we consider finite simple graphs, which have neither loops nor multiple edges. For terminology and notation not defined in this paper, we refer the readers to [4]. The independence number and the connectivity of a graph *G* are denoted by $\alpha(G)$ and $\kappa(G)$, respectively. For a vertex *x* of a graph *G*, we denote by $d_G(x)$ and $N_G(x)$ the degree and the neighborhood of *x* in *G*. Let $\sigma_m(G)$ be the minimum degree sum of an independent set of *m* vertices in a graph *G*, i.e., if $\alpha(G) \ge m$, then

$$\sigma_m(G) = \min\left\{\sum_{x \in X} d_G(x) : X \text{ is an independent set of } G \text{ with } |X| = m\right\};$$

otherwise, $\sigma_m(G) = +\infty$. If the graph *G* is clear from the context, we often omit the graph parameter *G* in the graph invariant. In this paper, "disjoint" always means "vertex-disjoint".

A graph having a *Hamilton cycle*, i.e., a cycle containing all the vertices of the graph, is said to be *hamiltonian*. The following degree sum condition for hamiltonicity of graphs, due to Ore (1960), is classical and well known in graph theory.

Theorem A (Ore [15]). Let G be a graph of order $n \ge 3$. If $\sigma_2 \ge n$, then G is hamiltonian.

Chvátal and Erdős (1972) discovered the relationship between the connectivity, the independence number and the hamiltonicity.

Theorem B (*Chvátal*, Erdős [10]). Let G be a graph of order at least 3. If $\alpha \leq \kappa$, then G is hamiltonian.

Bondy [2] pointed out that if a graph satisfies the Ore condition, then the graph also satisfies the Chvátal–Erdős condition, that is, Theorem B implies Theorem A.

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By Theorem B, we should consider degree sum conditions for the existence of a Hamilton cycle in graphs *G* with $\alpha(G) \ge \kappa(G) + 1$. In fact, Bondy (1980) gave the following degree sum condition by extending Theorem B.

Theorem C (Bondy [3]). Let *m* be a positive integer, and let *G* be an *m*-connected graph of order $n \ge 3$. If $\sigma_{m+1} > \frac{1}{2}(m+1)(n-1)$, then *G* is hamiltonian.

In 2008, Yamashita [16] introduced a new graph invariant and further generalized Theorem C as follows. For a vertex subset *X* of a graph *G* with $|X| \ge t$, we define

$$\Delta_t(X) = \max\left\{\sum_{x \in Y} d_G(x) : Y \subseteq X, |Y| = t\right\}.$$

Let $m \ge t$, and if $\alpha(G) \ge m$, then let

 $\sigma_t^m(G) = \min \left\{ \Delta_t(X) : X \text{ is an independent set of } G \text{ with } |X| = m \right\};$

otherwise, $\sigma_t^m(G) = +\infty$. Note that $\sigma_t^m(G) \ge \frac{t}{m} \cdot \sigma_m(G)$.

Theorem D (Yamashita [16]). Let *m* be a positive integer, and let *G* be an *m*-connected graph of order $n \ge 3$. If $\sigma_2^{m+1} \ge n$, then *G* is hamiltonian.

This result suggests that the degree sum of non-adjacent "two" vertices is important for Hamilton cycles.

A 2-factor of a graph is a spanning subgraph in which every component is a cycle, and thus a Hamilton cycle is a 2-factor with "exactly 1 cycle". In this study, we focus on 2-factors with "exactly *k* cycles" and consider generalizations of the above results in terms of the 2-factors. The following theorem, due to Brandt, Chen, Faudree, Gould and Lesniak (1997), is a generalization of Theorem A.

Theorem E (Brandt et al. [5]). Let k be a positive integer, and let G be a graph of order $n \ge 4k - 1$. If $\sigma_2 \ge n$, then G has a 2-factor with exactly k cycles.

In [5], the order condition is not " $n \ge 4k - 1$ " but " $n \ge 4k$ ". However, by using a theorem of Enomoto [11] for *packing k cycles*, i.e., finding *k* disjoint cycles in graphs, we can improve the order condition. See the proof in [5, Lemma 1].

By considering the relation between Theorems A and E, Chen, Gould, Kawarabayashi, Ota, Saito and Schiermeyer [6] conjectured that if the order of a 2-connected graph *G* is large compared with only *k*, then the Chvátal–Erdős condition in Theorem B guarantees the existence of a 2-factor with exactly *k* cycles in *G* (see [6, Conjecture 1]). Chen et al. proved that if the order of a 2-connected graph *G* with $\alpha(G) = \alpha$ is sufficiently large compared with *k* and with the Ramsey number $r(\alpha + 4, \alpha + 1)$, then it is true. In [13], Kaneko and Yoshimoto "almost" solved the above conjecture for k = 2 (see also the comment after Theorem E in [6]). Another related result can be found in [7]. But, the above conjecture is still open in general. In this sense, there is a big gap between Hamilton cycles and 2-factors with exactly $k (\geq 2)$ cycles. (For other related results about 2-factors with *k* cycles, we refer the reader to a survey [9].)

In this paper, by combining the techniques of the proof for hamiltonicity and the proof for 2-factors with a prescribed number of cycles, we give the following Yamashita-type condition for 2-factors with *k* cycles.

Theorem 1. Let k, m be positive integers, and let G be an m-connected graph of order $n \ge 5k - 2$. If $\sigma_2^{\lceil m/k \rceil + 1} \ge n$, then G has a 2-factor with exactly k cycles.

This theorem implies the following:

Remark 2.

- Theorem 1 is a generalization of Theorem D.
- Theorem 1 leads to the Bondy-type condition: If *G* is an *m*-connected graph of order *n* ≥ 5*k* − 2 with σ_{[m/k]+1}(*G*) > ¹/₂([m/k] + 1)(n − 1), then *G* has a 2-factor with exactly *k* cycles. Therefore, Theorem 1 is an extension of Theorem E for sufficiently large graphs. (Recall that σ_t^m(G) ≥ t/m · σ_m(G) and σ_m(G) ≥ m/2 · σ₂(G) for m ≥ t ≥ 2.)
 Theorem 1 leads to the Chvátal–Erdős-type condition: If *G* is a graph of order at least 5*k* − 2 with α(G) ≤ [κ(G)/k],
- Theorem 1 leads to the Chvátal–Erdős-type condition: If G is a graph of order at least 5k 2 with $\alpha(G) \leq \lceil \kappa(G)/k \rceil$, then G has a 2-factor with exactly k cycles.

This implies that we can generalize results on Hamilton cycles to results on 2-factors with exactly *k* cycles by allowing the size of independent sets imposing degree conditions to depend on the prescribed number *k*.

The complete bipartite graph $K_{(n-1)/2,(n+1)/2}$ (*n* is odd) does not contain a 2-factor, and hence the degree condition in Theorem 1 is best possible in this sense. The order condition in Theorem 1 comes from our proof techniques. Similar to the situation for the proof of Theorem E, we will use the order condition only for packing *k* cycles (see Lemma 5 and the proof of Theorem 1 in Section 3). The complete bipartite graph $K_{2k-1,2k-1}$ shows that $n \ge 4k - 1$, in a sense, is necessary. In the last section (Section 4), we note that " $n \ge 5k - 2$ " can be replaced with " $n \ge 4k - 1$ " for the Bondy-type condition (and the Chvátal–Erdős-type condition) in Remark 2.

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