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## Local and union boxicity

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#### ABSTRACT

The boxicity box(H) of a graph H is the smallest integer d such that H is the intersection of d interval graphs, or equivalently, that H is the intersection graph of axis-aligned boxes in  $\mathbb{R}^d$ . These intersection representations can be interpreted as covering representations of the complement  $H^c$  of H with co-interval graphs, that is, complements of interval graphs. We follow the recent framework of global, local and folded covering numbers (Knauer and Ueckerdt, 2016) to define two new parameters: the local boxicity  $box_\ell(H)$  and the union boxicity  $\overline{box}(H)$  of H. The union boxicity of H is the smallest d such that  $H^c$  can be covered with d vertex–disjoint unions of co-interval graphs, while the local boxicity of H is the smallest d such that  $H^c$  can be covered with co-interval graphs, at most d at every vertex.

We show that for every graph H we have  $box_{\ell}(H) \leq \overline{box}(H) \leq box(H)$  and that each of these inequalities can be arbitrarily far apart. Moreover, we show that local and union boxicity are also characterized by intersection representations of appropriate axis-aligned boxes in  $\mathbb{R}^d$ . We demonstrate with a few striking examples, that in a sense, the local boxicity is a better indication for the complexity of a graph, than the classical boxicity.

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#### 1. Introduction

All graphs considered in this article are finite, undirected, simple (have neither loops nor multiple edges), and have at least one edge. An *interval graph* is an intersection graph of intervals on the real line.<sup>1</sup> Such a set  $\{I(v) \subseteq \mathbb{R} \mid v \in V(H)\}$  of intervals with  $vw \in E(H) \Leftrightarrow I(v) \cap I(w) \neq \emptyset$  is called an *interval representation of* H. A box in  $\mathbb{R}^d$ , also called a *d*-dimensional box, is the Cartesian product of *d* intervals. The *boxicity* of a graph H, denoted by box(H), is the least integer *d* such that H is the intersection graph of *d*-dimensional boxes, and a corresponding set  $\{B(v) \subseteq \mathbb{R}^d \mid v \in V(H)\}$  is a *box representation of* H. The boxicity was introduced by Roberts [17] in 1969 and has many applications in as diverse areas as ecology and operations research [4].

As two *d*-dimensional boxes intersect if and only if each of the *d* corresponding pairs of intervals intersect, we have the following more graph theoretic interpretation of the boxicity of a graph; also see Fig. 1(a).

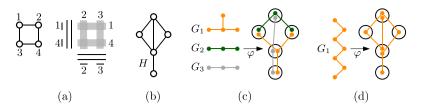
**Theorem 1** (Roberts [17]). For a graph H we have  $box(H) \leq d$  if and only if  $H = G_1 \cap \cdots \cap G_d$  for some interval graphs  $G_1, \ldots, G_d$ .

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<sup>&</sup>lt;sup>1</sup> Throughout, we shall just say "intervals" and drop the suffix "on the real line". Intervals may be open, half-open, or closed (even though restricting to one kind does not affect the notion of an interval graph) and bounded or unbounded.



**Fig. 1.** (a) The 4-cycle as the intersection of two interval graphs. (b) Example graph *H*. (c) An injective covering of *H* that is 3-global and 2-local. (d) A (non-injective) 1-global 2-local covering of *H*.

I.e., the boxicity of a graph *H* is the least integer *d* such that *H* is the intersection of *d* interval graphs. For a graph H = (V, E) we denote its complement by  $H^c = (V, {V \choose 2} - E)$ . Then by De Morgan's law we have

$$H = G_1 \cap \dots \cap G_d \quad \Longleftrightarrow \quad H^c = G_1^c \cup \dots \cup G_d^c, \tag{1}$$

i.e., box(*H*) is the least integer *d* such that the complement  $H^c$  of *H* is the union of *d* co-interval graphs  $G_1^c, \ldots, G_d^c$ , where a *co-interval graph* is the complement of an interval graph.<sup>2</sup> In other words, box(*H*)  $\leq d$  if  $H^c$  can be covered with *d* co-interval graphs. Strictly speaking, we have to be a little more precise here. In order to use De Morgan's law, we should guarantee that  $G_1, \ldots, G_d$  in (1) all have the same vertex set. To this end, if *G* is a subgraph of *H*, let  $\overline{G} = (V(H), E(G))$  be the graph obtained from *G* by adding all vertices in V(H) - V(G) as isolated vertices. (We use  $\overline{G}$  to denote a graph obtained from  $G \subseteq H$  by adding vertices of *H* not in *G* either as isolated or universal vertices.) Clearly we have

$$H^{c} = G_{1}^{c} \cup \cdots \cup G_{d}^{c} \quad \Rightarrow \quad H^{c} = \overline{G}_{1}^{c} \cup \cdots \cup \overline{G}_{d}^{c} \quad \Rightarrow \quad H = \overline{G}_{1} \cap \cdots \cap \overline{G}_{d}$$

for any graph *H* and any set of subgraphs  $G_1, \ldots, G_d$  of *H*. Now whenever *G* is a co-interval graph, then so is  $\overline{G}$ , implying that box(*H*) is the least integer *d* such that  $H^c$  can be covered with *d* co-interval graphs.

#### Graph covering parameters

In the general graph covering problem, one is given an input graph H, a so-called covering class  $\mathcal{G}$  and a notion of how to cover H with one or more graphs from  $\mathcal{G}$ . The most classic notion of covering, which also corresponds to the boxicity as discussed above, is that H shall be the union of  $G_1, \ldots, G_t \in \mathcal{G}$ , i.e.,  $V(H) = \bigcup_{i \in [t]} V(G_i)$  and  $E(H) = \bigcup_{i \in [t]} E(G_i)$ . (Here and throughout the paper, for a positive integer t we denote  $[t] = \{1, \ldots, t\}$ .) The global covering number, denoted by  $c_g^{\mathcal{G}}(H)$ , is then defined to be the minimum t for which such a cover exists. Many important graph parameters can be interpreted as a global covering number, e.g., the arboricity [15] when  $\mathcal{G}$  is the class of forests, the track number [9] when  $\mathcal{G}$  is the class of interval graphs (Note that this is the smallest number of interval graphs covering a graph H, which is very different from the track-number of H as defined in [5].), and the thickness [1,14] when  $\mathcal{G}$  is the class of planar graphs, just to name a few.

Most recently, Knauer and Ueckerdt [10] suggested the following unifying framework for three kinds of covering numbers, differing in the underlying notion of covering. A graph homomorphism is a function  $\varphi : V(G) \rightarrow V(H)$  with the property that if  $uv \in E(G)$  then  $\varphi(u)\varphi(v) \in E(H)$ , i.e.,  $\varphi$  maps vertices of G (not necessarily injectively) to vertices of H such that edges are mapped to edges. For abbreviation we shall simply write  $\varphi : G \rightarrow H$  instead of  $\varphi : V(G) \rightarrow V(H)$ . Whenever G' is a subgraph of G,  $\varphi(G')$  denotes the (not necessarily induced) subgraph H' of H with  $V(H') = \{\varphi(v) \mid v \in V(G')\}$  and  $E(H') = \{\varphi(u)\varphi(v) \mid uv \in E(G')\}$ . A copy of a graph G' in H is a (not necessarily induced) subgraph H' of H that is isomorphic to G'.

For an input graph H, a covering class  $\mathcal{G}$  and a positive integer t, a t-global  $\mathcal{G}$ -cover of H is an edge-surjective homomorphism  $\varphi : G_1 \cup \cdots \cup G_t \rightarrow H$  such that  $G_i \in \mathcal{G}$  for each  $i \in [t]$ . Here  $\cup$  denotes the vertex-disjoint union of graphs. We say that  $\varphi$  is *injective* if its restriction to  $G_i$  is injective for each  $i \in [t]$ . A  $\mathcal{G}$ -cover is called *s*-local if  $|\varphi^{-1}(v)| \leq s$  for every  $v \in V(H)$ .

Hence, if  $\varphi$  is a  $\mathcal{G}$ -cover of H, then

 $\varphi$  is *t*-global if it uses only *t* graphs<sup>3</sup> from the covering class  $\mathcal{G}$ ,

 $\varphi$  is injective if  $\varphi(G_i)$  is a copy of  $G_i$  in H for each  $i \in [t]$ ,

 $\varphi$  is *s*-local if for each  $v \in V(H)$  at most *s* vertices are mapped onto *v*.

<sup>&</sup>lt;sup>2</sup> Precisely, *G* is a co-interval graph if there is a set  $\{I(v) \subseteq \mathbb{R} \mid v \in V(G)\}$  of intervals with  $vw \in E(G) \Leftrightarrow I(v) \cap I(w) = \emptyset$ . Equivalently, these are the comparability graphs of interval orders.

<sup>&</sup>lt;sup>3</sup> More precisely,  $\varphi$  uses a multiset of size *t* consisting of graphs from G, as the same graph may be used more than once.

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