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An improved upper bound on the adjacent vertex distinguishing total chromatic number of graphs

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ABSTRACT

An adjacent vertex distinguishing total *k*-coloring of a graph *G* is a proper total *k*-coloring of *G* such that any pair of adjacent vertices have different sets of colors. The minimum number *k* needed for such a total coloring of *G* is denoted by $\chi_a''(G)$. In this paper we prove that $\chi_a''(G) \le 2\Delta(G) - 1$ if $\Delta(G) \ge 4$, and $\chi_a''(G) \le \lceil \frac{5\Delta(G)+8}{3} \rceil$ in general. This improves a result in Huang et al. (2012) which states that $\chi_a''(G) \le 2\Delta(G)$ for any graph with $\Delta(G) \ge 3$. © 2017 Elsevier B.V. All rights reserved.

1. Introduction

A proper total k-coloring of a graph G is a mapping $\phi : V(G) \cup E(G) \rightarrow \{1, ..., k\}$ such that $\phi(x) \neq \phi(y)$ for every pair of adjacent or incident elements $x, y \in V(G) \cup E(G)$. For a vertex $v \in V(G)$ and a proper total coloring ϕ , we define set $C_{\phi}(v)$ as $\{\phi(uv)|uv \in E(G)\} \cup \{\phi(v)\}$. The coloring ϕ is an *adjacent vertex distinguishing total coloring* or *avd-total coloring* if $C_{\phi}(v) \neq C_{\phi}(u)$ for every pair of adjacent vertices v and u. The *adjacent vertex distinguishing total chromatic number* $\chi_a''(G)$ of a graph G is the smallest integer k such that G has a k-avd total coloring.

For a graph *G*, having two adjacent vertices v and u with a degree $\Delta(G)$, both $C_{\phi}(v)$ and $C_{\phi}(u)$ have $\Delta(G) + 1$ elements. Since $C_{\phi}(v) \setminus C_{\phi}(u) \neq \emptyset$ is a necessary condition for these sets to be different, such a graph *G* has $\chi_a''(G)$ greater than or equal to $\Delta(G) + 2$. In fact, there exist many graphs with $\chi_a''(G) > \Delta(G) + 2$, for example, any complete graph of odd order has that property. An avd-total coloring was first introduced by Zhang et al. [7], where they proposed the following conjecture:

Conjecture 1.1. For any graph G, $\chi_a''(G) \leq \Delta(G) + 3$.

First we prove an upper bound on $\chi_a^{\prime\prime}(G)$ related to the chromatic number $\chi(G)$ and the maximum degree $\Delta(G)$.

Lemma 1.2. For any graph G, $\chi_a''(G) \leq \chi(G) + \Delta(G)$.

Proof. Let $k = \chi(G)$, $l = \Delta(G)$, $K = \{1, ..., k - 1\}$ and $L = \{k, ..., k + l\}$. According to the famous Vizing's theorem [4], $\chi'(G) \leq \Delta(G) + 1$ for every graph *G*. This means that we can properly color the edges of *G* with colors from *L*. Let V = V(G), and let $V_1, ..., V_k$ be color classes of a graph *G*. Since a proper coloring assigns different colors to every pair of adjacent vertices, each nonempty color class V_i , $1 \leq i \leq k$, is an independent set of vertices in *G*. For every $j \in K$, we color every vertex from V_j with color *j*. Since $K \cap L = \emptyset$, we have $\phi(v) \neq \phi(vu)$ for every $v \in (V \setminus V_k)$ and $u \in N(v)$. On the other hand, $i \in (C_{\phi}(v) \setminus C_{\phi}(u))$ for every $v \in V_i$, $u \in V_j$, $1 \leq i < j \leq k - 1$, and thus $C_{\phi}(v) \neq C_{\phi}(u)$. We now color the remaining vertices, that is, vertices from V_k . Let *v* be a vertex from V_k . Since $\Delta(G) = l$, vertex *v* has at most *l* incident edges, and there is at least

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one color from *L*, say l_1 , not used to color any of the edges incident with *v*. We color *v* with l_1 . None of the vertices adjacent to *v* is colored from *L*, thus such a vertex coloring is proper. We know that $j \in C_{\phi}(u)$ for every $1 \le j \le k - 1$ and every $u \in V_j$, and since $C_{\phi}(v) \cap K = \emptyset$ we have $C_{\phi}(v) \ne C_{\phi}(u)$. Therefore, the coloring described above is an avd-total coloring using $k + l = \chi(G) + \Delta(G)$ colors. \Box

Zhang et al. [7] showed the value of χ_a'' for complete graphs:

Lemma 1.3.

 $\chi_a''(K_n) = \begin{cases} n+1, & \text{if } n \text{ is even,} \\ n+2, & \text{if } n \text{ is odd.} \end{cases}$

Regarding an upper bound on the chromatic number of a graph, we know that $\chi(C_l) = 3$ for every odd l, and $\chi(K_n) = n+1$ for every n. Also, Brooks' theorem [1] states that $\chi(G) \leq \Delta(G)$ for any graph different from an odd cycle and a complete graph. As a direct consequence of Lemma 1.2, Lemma 1.3 and Brooks' theorem we get a simpler proof of the following bound, given by Huang, Wang and Yan [2].

Corollary 1.4. For any graph *G* with $\Delta(G) \geq 3$, we have $\chi_a''(G) \leq 2\Delta(G)$.

2. An improved upper bound

The following definition and lemma were given in somewhat different forms in [2].

Definition 2.1. Let *G* be a graph with $\chi(G) = k$, and let V_1, \ldots, V_k be color classes of *G*. We say that V_1, \ldots, V_k are dominant color classes if $N(v) \cap V_j \neq \emptyset$ for every $v \in V_i$, $1 < i \le k$, and every j, $1 \le j < i$. We call such a partitioning $\mathcal{P} = \{V_1, \ldots, V_k\}$ of V(G) a dominant partitioning.

Let V_1, \ldots, V_k be color classes of a graph G. We can always obtain a dominant partitioning using the following simple algorithm.

Algorithm 1 Obtaining a dominant partitioning

```
Input: color classes U_1, ..., U_k

Output: dominant partitioning \mathcal{P} = \{V_1, ..., V_k\}

for all 1 \le i \le k do

V_i \leftarrow \emptyset

end for

i \leftarrow 1

while i \le k do

for all u \in U_i do

Let j, j \le i, be the smallest integer for which N(u) \cap V_j = \emptyset.

Include u in V_j.

end for

i \leftarrow i + 1

end while

return \{V_1, ..., V_k\}
```

Lemma 2.2. For any graph G with $\chi(G) = k$, there exists a dominant partitioning $\mathcal{P} = \{V_1, \ldots, V_k\}$ of V(G).

Proof. The previous algorithm guarantees that every vertex from V_i , $1 < i \le k$, has at least one neighbor in every V_j , $1 \le j < i$. If a vertex *u* from U_i has a neighboring vertex in every V_j , $1 \le j < i$, it is included in V_i . Since U_i is an independent set, none of the vertices included in V_i is adjacent to *u*. Thus, all sets of \mathcal{P} are independent, while $|\mathcal{P}| = k$, completing the proof. \Box

The next theorem improves an upper bound for every graph *G* with $\Delta(G) \ge 5$, compared to Corollary 1.4.

Theorem 2.3. For any graph *G* with $\Delta(G) \ge 5$,

 $\chi_a''(G) \le 2\Delta(G) - 1$

The proof of this theorem is deferred to Section 3. Lu et al. [3] proved that $\chi_a''(G) \le 7$ for any graph with maximum degree 4. This result, together with Theorem 2.3, implies the following:

Corollary 2.4. For any graph G with $\Delta(G) \ge 4$, we have $\chi_a''(G) \le 2\Delta(G) - 1$.

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