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The extremal functions for triangle-free graphs with excluded minors

Robin Thomas, Youngho Yoo

School of Mathematics, Georgia Institute of Technology, Atlanta, GA 30332-0160, USA

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ABSTRACT

We prove two results:

1. A graph G on at least seven vertices with a vertex v such that $G - v$ is planar and t triangles satisfies $|E(G)| \leq 3|V(G)| - 9 + t/3$.
2. For $p = 2, 3, \dots, 9$, a triangle-free graph G on at least $2p - 5$ vertices with no K_p -minor satisfies $|E(G)| \leq (p - 2)|V(G)| - (p - 2)^2$.

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1. Introduction

All graphs in this paper are finite and simple. Cycles have no “repeated” vertices. A graph is a *minor* of another if the first can be obtained from a subgraph of the second by contracting edges. An H -minor is a minor isomorphic to H . Mader [6] proved the following beautiful theorem.

Theorem 1.1. For $p = 2, 3, \dots, 7$, a graph with no K_p -minor and $V \geq p - 1$ vertices has at most $(p - 2)V - \binom{p-1}{2}$ edges.

For large p however, a graph on V vertices with no K_p -minor can have up to $\Omega(p\sqrt{\log p}V)$ edges as shown by several people (Kostochka [4,5], and Fernandez de la Vega [2] based on Bollobás, Catlin and Erdős [1]). Already for $p = 8, 9$, there are K_p -minor-free graphs on V vertices with strictly more than $(p - 2)V - \binom{p-1}{2}$ edges, but the exceptions are known. Given a graph G and a positive integer k , we define (G, k) -cockades recursively as follows. A graph isomorphic to G is a (G, k) -cockade. Moreover, any graph isomorphic to one obtained by identifying complete subgraphs of size k of two (G, k) -cockades is also a (G, k) -cockade, and every (G, k) -cockade is obtained this way. The following is a theorem of Jørgensen [3].

E-mail address: thomas@math.gatech.edu (R. Thomas).

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Theorem 1.2. A graph on $V \geq 7$ vertices with no K_8 -minor has at most $6V - 21$ edges, unless it is a $(K_{2,2,2,2,2}, 5)$ -cockade.

The next theorem is due to Song and the first author [10].

Theorem 1.3. A graph on $V \geq 8$ vertices with no K_9 -minor has at most $7V - 28$ edges, unless it is a $(K_{1,2,2,2,2,2}, 6)$ -cockade or isomorphic to $K_{2,2,2,3,3}$.

The first author and Zhu [11] conjecture the following generalization.

Conjecture 1.4. A graph on $V \geq 9$ vertices with no K_{10} -minor has at most $8V - 36$ edges, unless it is isomorphic to one of the following graphs:

- (1) a $(K_{1,1,2,2,2,2,2}, 7)$ -cockade,
- (2) $K_{1,2,2,2,3,3}$,
- (3) $K_{2,2,2,2,2,3}$,
- (4) $K_{2,2,2,2,2,3}$ with an edge deleted,
- (5) $K_{2,3,3,3,3}$,
- (6) $K_{2,3,3,3,3}$ with an edge deleted,
- (7) $K_{2,2,3,3,4}$, and
- (8) the graph obtained from the disjoint union of $K_{2,2,2,2}$ and C_5 by adding all edges joining them.

McCarty and the first author studied the extremal functions for *linklessly embeddable graphs*: graphs embeddable in 3-space such that no two disjoint cycles form a non-trivial link. Robertson, Seymour, and the first author [9] showed that a graph is linklessly embeddable if and only if it has no minor isomorphic to a graph in the *Petersen family*, which consists of the seven graphs (including the Petersen graph) that can be obtained from K_6 by ΔY - or $Y \Delta$ -transformations. Thus, Mader's theorem implies that a linklessly embeddable graph on V vertices has at most $4V - 10$ edges. McCarty and the first author [8] proved the following.

Theorem 1.5. A bipartite linklessly embeddable graph on $V \geq 5$ vertices has at most $3V - 10$ edges, unless it is isomorphic to $K_{3,V-3}$.

In the same paper McCarty and the first author made the following three conjectures.

Conjecture 1.6. A triangle-free linklessly embeddable graph on $V \geq 5$ vertices has at most $3V - 10$ edges, unless it is isomorphic to $K_{3,V-3}$.

As a possible approach to [Conjecture 1.6](#) McCarty and the first author proposed the following.

Conjecture 1.7. A linklessly embeddable graph on $V \geq 7$ vertices with t triangles has at most $3V - 9 + t/3$ edges.

The third conjecture of McCarty and the first author is as follows.

Conjecture 1.8. For $p = 2, 3, \dots, 8$, a bipartite graph on $V \geq 2p - 5$ vertices with no K_p -minor has at most $(p - 2)V - (p - 2)^2$ edges.

1.1. Our results

We first give a partial result to [Conjectures 1.6](#) and [1.7](#). An *apex graph* is a graph G with a vertex a such that $G - a$ is planar. All apex graphs are linklessly embeddable. We show that [Conjectures 1.6](#) and [1.7](#) hold for apex graphs:

Theorem 1.9. A triangle-free apex graph on $V \geq 5$ vertices has at most $3V - 10$ edges, unless it is isomorphic to $K_{3,V-3}$. Moreover, an apex graph on $V \geq 7$ vertices with t triangles has at most $3V - 9 + t/3$ edges.

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