



# Jumps of computably enumerable equivalence relations <sup>☆</sup>

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## ABSTRACT

We study computably enumerable equivalence relations (or, ceers), under computable reducibility  $\leq$ , and the halting jump operation on ceers. We show that every jump is uniform join-irreducible, and thus join-irreducible. Therefore, the uniform join of two incomparable ceers is not equivalent to any jump. On the other hand there exist ceers that are not equivalent to jumps, but are uniform join-irreducible: in fact above any non-universal ceer there is a ceer which is not equivalent to a jump, and is uniform join-irreducible. We also study transfinite iterations of the jump operation. If  $a$  is an ordinal notation, and  $E$  is a ceer, then let  $E^{(a)}$  denote the ceer obtained by transfinitely iterating the jump on  $E$  along the path of ordinal notations up to  $a$ . In contrast with what happens for the Turing jump and Turing reducibility, where if a set  $X$  is an upper bound for the  $A$ -arithmetical sets then  $X^{(2)}$  computes  $A^{(\omega)}$ , we show that there is a ceer  $R$  such that  $R \geq \text{Id}^{(n)}$ , for every finite ordinal  $n$ , but, for all  $k$ ,  $R^{(k)} \not\geq \text{Id}^{(\omega)}$  (here  $\text{Id}$  is the identity equivalence relation). We show that if  $a, b$  are notations of the same ordinal less than  $\omega^2$ , then  $E^{(a)} \equiv E^{(b)}$ , but there are notations  $a, b$  of  $\omega^2$  such that  $\text{Id}^{(a)}$  and  $\text{Id}^{(b)}$  are incomparable. Moreover, there is no non-universal ceer which is an upper bound for all the ceers of the form  $\text{Id}^{(a)}$  where  $a$  is a notation for  $\omega^2$ .

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## 1. Introduction

Recently, there has been a renewed interest in studying equivalence relations on the set  $\omega$  of natural numbers under the reducibility  $\leq$ , where  $R \leq S$  if there exists a computable function  $f$  such that, for all  $x, y \in \omega$ ,

$$x R y \Leftrightarrow f(x) S f(y).$$

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The first systematic investigation of this reducibility goes back to Ershov (see e.g., [9]). More recent papers, with applications to computable model theory and computable algebra, include [7,11,10]. A natural and interesting particular case is provided by restriction of  $\leq$  to computably enumerable equivalence relations (which will be abbreviated as *ceers*). The earliest paper fully dedicated to ceers (therein called *positive* equivalence relations) is [8], followed by other papers motivated by applications to logic, in view of the numerous examples of ceers naturally arising in logic: see for instance [3,4,13,14,17,19].

Let  $\equiv$  be the equivalence relation given by  $R \equiv S$  if  $R \leq S$  and  $S \leq R$ . Then one can define, in the usual way, the degrees of equivalence relations (i.e., the  $\equiv$ -equivalence classes of equivalence relations), and in particular the poset  $\mathcal{P}$  of degrees of ceers, which is bounded (see for instance [1]), i.e., with least element  $\mathbf{0}$ , consisting of just the trivial ceer, and greatest element  $\mathbf{1}$ : the ceers belonging to  $\mathbf{1}$  are called *universal*. It is also worth recalling that this structure extends the structure of the 1-degrees of infinite c.e. sets: Given a set  $X$  define  $R_X$  to be the equivalence relation so that  $x R_X y$  if and only if  $x = y$  or  $x, y \in X$ . It is not difficult to show (see for instance [1]) that if  $X, Y$  are infinite c.e. sets then  $X \leq_1 Y$  if and only if  $R_X \leq R_Y$ ; moreover if  $Y$  is c.e. and  $Z \leq R_Y$  then  $Z \equiv R_X$  for some c.e. set  $X$ . It turns out in this way that the interval of degrees of ceers  $[\text{deg}(\text{Id}), \text{deg}(R_K)]$  (where  $\text{Id}$  denotes the identity relation) is isomorphic to the interval of c.e. 1-degrees  $[\mathbf{0}_1, \mathbf{0}'_1]$ , where  $\mathbf{0}_1$  is the 1-degree of any infinite and coinfinite decidable set, and  $\mathbf{0}'_1$  is the 1-degree of the halting set  $K$ . This fact has been exploited in [1] to show that the first order theory of the poset of degrees of ceers is undecidable.

Gao and Gerdes [12] define a useful notion of jump of a ceer.

**Definition 1.1.** Given a ceer  $R$ , define

$$x R' y \Leftrightarrow [x = y \text{ or } \varphi_x(x) \downarrow R \varphi_y(y) \downarrow].$$

The ceer  $R'$  is called the *halting jump ceer of  $R$* : in the following we simply call it the *jump* of  $R$ .

The main properties of the operation  $R \mapsto R'$  are summarized in the following theorem:

**Theorem 1.2.** [12,1] *The following properties hold:*

- (1)  $R \leq R'$ ;
- (2)  $R \leq S$  if and only if  $R' \leq S'$ ;
- (3) If  $R$  is not universal then  $R'$  is not universal;
- (4) if  $R$  is not universal, then  $R < R'$ .

**Proof.** Item (1) is [12, Proposition 8.3(1)]; item (2) is [12, Theorem 4]; item (3) is [12, Corollary 8.5(2)]; item (4) is [1, Theorem 4.3].  $\square$

In particular we have a well-defined jump operation on degrees, given by  $(\text{deg}(R))' = \text{deg}(R')$ : this jump operation is an order embedding, and takes every degree to a bigger degree, except when it can not become strictly bigger, i.e., on the greatest element of  $\mathcal{P}$ . A degree of a ceer is a *jump degree* if it is in the range of the jump operation on degrees.

Given equivalence relations  $R, S$  on  $\omega$ , let  $R \oplus S$  be defined by

$$x R \oplus S y \Leftrightarrow \begin{cases} u R v & \text{if } x = 2u \text{ and } y = 2v, \\ u S v & \text{if } x = 2u + 1 \text{ and } y = 2v + 1. \end{cases}$$

Notice that  $\oplus$  induces a well defined binary operations on degrees: if  $\mathbf{a}$  and  $\mathbf{b}$  are the degrees of  $R$  and  $S$ , respectively, then  $\mathbf{a} \oplus \mathbf{b}$  is the degree of  $R \oplus S$ . Moreover  $R \oplus S$  satisfies  $R, S \leq R \oplus S$ .

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