**CLINICAL RESEARCH** 

## Extracorporeal Stromal Cell Therapy for Subjects With Dialysis-Dependent Acute Kidney Injury

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**Introduction**: The pathophysiology of acute kidney injury (AKI) involves damage to renal epithelial cells, podocytes, and vascular beds that manifests into a deranged, self-perpetuating immune response and peripheral organ dysfunction. Such an injury pattern requires a multifaceted therapeutic to alter the wound healing response systemically. Mesenchymal stromal cells (MSCs) are a unique source of secreted factors that can modulate an inflammatory response to acute organ injury and enhance the repair of injured tissue at the parenchymal and endothelial levels. This phase lb/lla clinical trial evaluates SBI-101, a combination product that administers MSCs extracorporeally to overcome pharmacokinetic barriers of MSC transplantation. SBI-101 contains allogeneic human MSCs inoculated into a hollow-fiber hemofilter for the treatment of patients with severe AKI who are receiving continuous renal replacement therapy (CRRT). SBI-101 therapy is designed to reprogram the molecular and cellular components of blood in patients with severe organ injury.

**Methods**: This study is a prospective, multicenter, randomized, double-blind, sham-controlled, study of subjects with a clinical diagnosis of AKI who are receiving CRRT. Up to 32 subjects may be enrolled to provide 24 evaluable subjects (as a per protocol population). Subjects will receive CRRT in tandem with a sham control (0 MSCs), or the low-  $(250 \times 10^6 \text{ MSCs})$  or high-dose  $(750 \times 10^6 \text{ MSCs})$  SBI-101 therapeutic. The study will measure dose-dependent safety, renal efficacy, and exploratory biomarkers to characterize the pharmacokinetics and pharmacodynamics of SBI-101 in treated subjects.

**Conclusion:** This first-in-human clinical trial will evaluate the safety and tolerability of SBI-101 in patients with AKI who require CRRT.

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A KI is a devastating syndrome that accounts for nearly 200,000 deaths annually in the United States. Approximately 1% of patients admitted to hospitals in the United States have AKI at the time of admission. AKI develops within 30 days postoperatively in approximately 1% of general surgery cases<sup>1</sup> and is present in up to 67% of patients in an intensive care unit (ICU).<sup>2</sup> The mortality associated with dialysis-dependent AKI is reported to be as high as 70%,<sup>3,4</sup> even with current treatment. The current

standard-of-care for severe AKI includes CRRT for hemodynamically unstable patients. This offers renal support, but fails to address the underlying inflammatory processes of the disease. Single factor medications have also failed to show efficacy for AKI, perhaps due to the complex nature of the disease. Therefore, there is an urgent need for a therapy that can address the multiple pathophysiologies present in AKI and to reduce the significant morbidity and mortality associated with the disease.

Cell and tissue therapies represent a potential approach to provide the breadth of treatment that may be required to restore physiological balance during AKI. Supplementing renal function using tissue engineering for AKI has been explored and reviewed 

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extensively.<sup>5,6</sup> Several preclinical studies have been 103 104 reported using biomaterials combined with various adult or progenitor cell types to act as a bioartificial 105 106 kidney graft, but reproducibly fabricating large-sized functional renal constructs at scale remains a major 107 108 obstacle for clinical translation. A renal assist device (RAD) that consisted of primary human kidney cells 109 110 seeded in the intraluminal space of standard dialyzers was used in conjunction with conventional CRRT. 111 Validation studies did not show dramatic differences 112 113 between the RAD and an acellular control device in changing the extent of kidney injury or survival in 114 uremic dogs.<sup>7</sup> The use of the RAD was terminated 115 116 during the phase IIb trial because no demonstrable 117 survival benefit in larger patient cohorts was observed in a controlled study. It remains unclear if the hy-118 119 pothesis of supplementing renal function will resolve the systemic inflammation and peripheral organ 120 121 dysfunction caused by AKI after the injury process has 122 reached clinical symptomatology.

As an alternative form of regenerative medicine, 123 124 MSCs have shown significant therapeutic potential to stimulate an endogenous wound healing response due 125 primarily to an anti-inflammatory mechanism of ac-126 127 tion.<sup>8,9</sup> The successful use of MSCs in preclinical studies has been reported in models of injury to gas-128 trointestinal,<sup>10–14</sup> skin,<sup>15</sup> heart,<sup>16,17</sup> lung,<sup>18–21</sup> 129 liver,<sup>22,23</sup> and kidney<sup>24</sup> tissue, as well as in settings of 130 immunomodulation for solid organ<sup>25</sup> and hematopoietic 131 cell transplantation<sup>26-28</sup> in humans. These studies have 132 revealed that the immunomodulatory activity of MSCs 133 is primarily due to secreted factors.<sup>29,30</sup> 134

I.v. infusion of allogeneic MSCs to treat AKI has been 135 reported in human patients,<sup>31</sup> and nonclinical studies 136 have provided evidence of mouse MSCs reversing AKI 137 by providing angiogenic and anti-inflammatory sup-138 port.<sup>24,32,33</sup> However, clinical outcomes of i.v. MSC 139 therapy in human AKI failed in phase IIb studies,<sup>34</sup> and 140 141 this may be due, in part, to a lack of controlled and 142 sustained exposure to MSCs and their secretome. Evi-143 dence suggests that dosing may be a limiting factor; i.v. 144 injection of MSCs, although clinically practical to broadly resolve systemic inflammation, is hindered by 145 the short-circulating half-life of MSCs,<sup>35</sup> limited long-146 term engraftment,<sup>36</sup> and a maximum i.v. dose that can 147 be tolerated safely without lung toxicity. 148

149 SBI-101 is a combination product that is designed to 150 overcome these dosing constraints by integrating allogeneic MSCs within an extracorporeal blood-contacting 151 152 device (Figure 1) to fundamentally change the admin-153 istration route. As opposed to i.v. MSCs that are diluted 154 throughout the body and rapidly degraded, SBI-101 155 allows delivery of a stable and more durable dose of 156 cells by exposing the blood ultrafiltrate of a subject to

SBI-101 SBI-101 Cross Section Venous Arterial Blood Allogeneic MSCs 4C/FPO

BLK Miller et al.: Cell Therapeutic for AKI

#### Figure 1. SBI-101: an extracorporeal stromal cell therapeutic. SBI-101 is a combination biologic and device designed to regulate inflammation and promote repair of injured tissue by exposing patient blood ultrafiltrate to allogeneic human mesenchymal stromal cells (MSCs).

Plasmapheresis

Membrane

180 MSCs that are immobilized on the extraluminal side of 181 membranes within a hollow fiber hemofiltration device 182 that is incorporated into a CRRT circuit. The condi-183 tioned ultrafiltrate is then delivered back to the sub-184 ject, which allows for controlled, sustained exposure of 185 the MSCs to patient blood for the duration of CRRT 186 treatment (Figure 2). SBI-101 enables MSCs to regulate 187 inflammation and promote repair of injured tissue 188 while keeping the MSCs confined in a device outside of 189 the body, thereby overcoming the MSC dosage limits 190 and duration of therapy seen during i.v. infusion. 191 Moreover, in contrast to MSC transplantation, in which 192 the endocrine MSC effect is temporary once cells are 193 localized within a tissue bed and can influence only 194 nearest-neighbor tissue cells via paracrine signaling, 195 SBI-101 concentrates and hones this endocrine thera-196 peutic mechanism by assuring continuous MSC-blood 197 interactions. This paper describes the methodology for 198 a first-in-human study of SBI-101 in subjects with 199 dialysis-dependent AKI. 200

#### METHODS AND DESIGN

#### **Hypothesis**

The tandem administration of CRRT and SBI-101 to subjects with AKI is feasible, safe, and biologically active compared with sham controls.

#### Design

This is a randomized, multicenter, sham-controlled, 209 double-blind, dose-escalating phase Ib/IIa study in 210

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