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Influences of accumulated mileage and technological changes on emissions of regulated 2

pollutants from gasoline passenger vehicles 3

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ABSTRACT

In this study, the influences of accumulated mileage (deterioration) and technological 19 changes (emission standards) on emission factors (EFs) of regulated pollutants (CO, HC, and 20 NOx) from gasoline passenger vehicles were investigated based on Inspection and 21 Maintenance (I/M) data using the chassis dynamometer method. The accumulated mileage 22 of passenger vehicles was significantly linearly correlated with vehicle age. For most cases, 23 the average EFs of CO, HC and NOx were significantly linearly correlated with accumulated 24 mileage, indicating that emission deterioration had a significant impact on pollutant EFs. 25 Implemented emission standards markedly influenced the EFs of regulated pollutants, and 26 EFs markedly decreased with progressing emission standards. The present study also 27 compared EFs of regulated pollutants between this study and the International vehicle 28 emission (IVE) model, and marked differences in EFs were seen with variations in emission 29 standards, vehicle types and accumulated mileage; NOx EFs in this study were higher than 30 in the IVE model. The results provide new insight into estimating regulated pollutant 31 emissions using the IVE model. 32

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Introduction 46

48 During recent decades, the rapid growth of industrialization 49 and urbanization has led to faster increases in numbers of motor vehicles (in particular passenger vehicles) in the mega-50 cities of China; therefore, vehicle emissions constitute one of 51 52 the main sources of atmospheric pollution (Guo et al., 2006; 53 Zhou et al., 2010; Che et al., 2011; Zheng et al., 2012; Wu et al., 2017). In recent years, more frequent haze events in China have 54 been caused by the large amount of pollutants emitted by 55

vehicles (Huang et al., 2012; Wang et al., 2014). For example, VOC 56 emissions in Shanghai from motor vehicles accounted for 25% 57 of total emissions (Cai et al., 2010), and emissions of NOx in 58 Hangzhou from motor vehicles accounted for more than 70% of 59 total emissions (Zhang et al., 2008). 60

The estimation of vehicular emissions depends mainly on 61 the values of emission factors (EFs), which are used for the 62 development of a comprehensive emission inventory of 63 vehicles (Mishra and Goyal, 2014). Emission factors of vehicles 64 are dependent upon many factors such as vehicle type, fuel 65

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quality, vehicle age, mileage, technology level, and inspection
and maintenance (I/M) (Liu et al., 2008; Liu et al., 2009, 2013; Q.
Zhang et al., 2013; S. Zhang et al., 2013).

Combustion-powered vehicles tend to deteriorate with 69 usage and accumulated mileage, and emission control equip-70 ment will be degraded or malfunction; and as a result, 71 72 emission levels can elevate significantly (Lau et al., 2012; Borken-Kleefeld and Chen, 2015). The pollution degree of 73 74 vehicles is mostly affected by vehicle age, and motor vehicles 75 deteriorate with age (Zachariadis et al., 2001). Some studies 76 reported that the deterioration of motor vehicles shows 77 increasing trends with increases in the accumulated mileage; 78 for example, pollutant EFs increase with accumulated mileage (Singer and Harley, 2000; Chiang et al., 2008); and 60% of the 05 pollutants resulted from 20% of vehicles that had the highest 80 81 accumulated mileages in Hangzhou city, China (Guo et al., 2006). NOx emissions from all cars and light commercial 82 vehicles in European emission inventories were found to 83 increase by 5%-10%, accounting for deterioration (Chen and 84 Borken-Kleefeld, 2016). 85

86 China has adopted the European standards for new 87 vehicles since 2001, and vehicle emission standards have played a key role in reducing vehicle emission levels in China. 88 89 For example, the Beijing government has efficiently imple-90 mented emission standards and fuel quality standards, 91 resulting in reductions in vehicle emissions (Wang et al., 92 2011; Zhang et al., 2014). For the Pearl River Delta region in 93 south China, upgrading China's national IV emission standard has been the most effective individual measure to reduce 94 95 average NOx and PM₁₀ concentrations (Che et al., 2011). In 96 Guangzhou during 2005-2009, vehicle emissions were estimated to have been reduced through technological improve-97 ment by 12% for CO and 21% for THC relative to levels in 2005 Q6 99 (Q. Zhang et al., 2013; S. Zhang et al., 2013).

The inspection and maintenance (I/M) program is one of 100 important elements among overall measures to mitigate 101 vehicle emissions (Wenzel, 2001; Schifter et al., 2003; Houtte 102 and Niemeier, 2008). Many nations use vehicle I/M programs 103 to identify high-emitting vehicles and ensure that they 104 operate to meet emission standards (Wenzel, 2001; Schifter 105 et al., 2003; Eisinger, 2005; Chang and Yeh, 2006; Li and 106 107 Crawford-Brown, 2011). I/M programs based on periodic short 108 tests can identify those problem cars, and require a re-test after necessary maintenance to assure their repair. Engine 109 characteristics, vehicle age, fuel quality, mileage, and main-110 111 tenance are found to be strong determinants of emissions and test failure rates (Wenzel, 2001; Eisinger, 2005). Therefore, the 112 I/M programs contribute substantially to the reduction of 113 pollutants caused by vehicles; Zhang et al. (2014) reported that 114 115 the enhanced I/M program for light duty vehicles was 116 estimated to reduce 11% of CO, 9% of THC and 2% of NOx relative to total vehicle emissions. Meanwhile, in-use vehicle 117 I/M programs also generate a tremendous volume of data that 118 provides a valuable means for evaluating the emission 119 120 characteristic of vehicles (Bin, 2003; Beydoun and Guldmann, 2006; Chang and Yeh, 2006; Chiang et al., 2008). The I/M data 121 122 can also be used to perform an extensive analysis of emission deterioration (Chiang et al., 2008; Chen and Borken-Kleefeld, 123 124 2016). For developing countries, it is not easy to develop EFs; 125 furthermore, the I/M programs can be used to develop the EFs

of vehicles (Schifter et al., 2003). Nowadays, China's developed 126 cities have improved test methods and upgraded the mea- 127 suring equipment for the I/M program; currently, the I/M 128 programs have become a low-cost, highly effective, and easy 129 test in the developed cities. The I/M stations can automati-130 cally measure vehicles on real-time basis, which gives the I/M 131 data the accuracy necessary to evaluate the characteristics of 132 vehicle emissions and calculate EFs for establishing an 133 emission inventory. 134

China has widely used some computer models to estimate 135 mobile source emissions for inventories, such as the interna- 136 tional vehicle emission (IVE) model (Wang et al., 2008; Che et al., 137 2011; Yao et al., 2014). The IVE model is specifically designed for 138 developing nations to address mobile source emissions, and the 139 advantage of the IVE model is its sensitivity to existing vehicle 140 technologies and driving behavior in developing countries (Guo 141 et al., 2007a; Wang et al., 2008; Huo et al., 2011; Nagpure and 142 Gurjar, 2012; Shrestha et al., 2013). The IVE model is an 143 important tool to calculate average emission rates for different 144 vehicle categories and facility types. However, the EFs or basic 145 emission rates of emissions in the IVE model are U.S- and 146 European-based, which may cause deviations in estimated 147 emissions for developing countries (Tung et al., 2011; Kim Oanh 148 et al., 2012). Moreover, the base EFs in the IVE model were based 149 on data taken by a laboratory dynamometer method, with 150 limited emission tests. 151

The IVE model is allowed to use local correction factors to 152 estimate local vehicle emissions; correction EFs are important 153 to develop improved EFs based on the IVE model (Tung et al., 154 2011; Kim Oanh et al., 2012; Shrestha et al., 2013). It is 155 necessary to update the emissions in specific areas to reflect 156 regional vehicle emissions. To our knowledge, the IVE model 157 has not still been upgraded and is based on rather old data. In 158 recent years, some nations have adopted modified versions of 159 EFs based on U.S or European values. 160

Vehicle emissions vary with vehicle types, adopted emis- 161 sion standards, and emission deterioration; therefore, it is 162 necessary to determine the factors considering parameters 163 such as cylinder capacity, vehicle age, mileage, and emission 164 standards. 165

Hangzhou, located in east China, is an important developed 166 city with major tourist industry in China, and Hangzhou has 167 high emission density from vehicles. The goal of Hangzhou is 168 to become an influential international city; for example, in 169 September 4, 2016, Hangzhou succeeded in holding the G20 170 summit. In coming years, Hangzhou will hold several interna-171 tional events, such as the 2020 Asian Games. To date, Hangzhou 172 government has taken many measures to mitigate vehicle 173 emissions, i.e., implementing tightened emission standards, 174 improving fuel quality, upgrading the I/M program, stringent 175 license control, and scrappage of older vehicles. 176

Vehicles are mainly concentrated in cities with denser 177 populations; urban gasoline passenger vehicles account for a 178 high proportion of total motor vehicles in China. In 2010, the 179 ratio of gasoline passenger vehicles to total motor vehicles in 180 Hangzhou was 64.49% (NBSC, 2011). Therefore, it is necessary 181 to characterize the emissions of gasoline passenger vehicles 182 to improve the air quality of the urban environment due to 183 low- and medium-passenger vehicles for private use and 184 high-passenger vehicles for business use. The objectives of 185

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