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# Dixmier traces as singular symmetric functionals and applications to measurable operators

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## Abstract

We unify various constructions and contribute to the theory of singular symmetric functionals on Marcinkiewicz function/operator spaces. This affords a new approach to the non-normal Dixmier and Connes–Dixmier traces (introduced by Dixmier and adapted to non-commutative geometry by Connes) living on a general Marcinkiewicz space associated with an arbitrary semifinite von Neumann algebra. The corollaries to our approach, stated in terms of the operator ideal  $\mathcal{L}^{(1,\infty)}$  (which is a special example of an operator Marcinkiewicz space), are: (i) a new characterization of the set of all positive measurable operators from  $\mathcal{L}^{(1,\infty)}$ , i.e. those on which an arbitrary Connes–Dixmier trace yields the same value. In the special case, when the operator ideal  $\mathcal{L}^{(1,\infty)}$  is considered on a type  $I$  infinite factor, a bounded operator  $x$  belongs to  $\mathcal{L}^{(1,\infty)}$  if and only if the sequence of singular numbers  $\{s_n(x)\}_{n \geq 1}$  (in the descending order and counting the multiplicities) satisfies  $\|x\|_{(1,\infty)} := \sup_{N \geq 1} \frac{1}{\text{Log}(1+N)} \sum_{n=1}^N s_n(x) < \infty$ . In this case, our characterization amounts to saying that a positive element  $x \in \mathcal{L}^{(1,\infty)}$  is measurable if and only if  $\lim_{N \rightarrow \infty} \frac{1}{\text{Log } N} \sum_{n=1}^N s_n(x)$  exists; (ii) the set of Dixmier traces and the

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set of Connes–Dixmier traces are norming sets (up to equivalence) for the space  $\mathcal{L}^{(1,\infty)}/\mathcal{L}_0^{(1,\infty)}$ , where the space  $\mathcal{L}_0^{(1,\infty)}$  is the closure of all finite rank operators in  $\mathcal{L}^{(1,\infty)}$  in the norm  $\|\cdot\|_{(1,\infty)}$ .

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## 0. Introduction

In [3] Dixmier proved the existence of non-normal traces on the von Neumann algebra  $B(H)$ . Dixmier's original construction involves singular dilation invariant positive linear functionals  $\omega$  on  $\ell^\infty(\mathbb{N})$ . This construction was altered by Connes [2] (see also Definition 5.2 below) who defined non-normal traces via the composition of the Cesaro mean and a state on  $C_b([0, \infty))/C_0([0, \infty))$ . In [4–6] the traces of Dixmier [3] were broadly generalized as singular symmetric functionals on Marcinkiewicz function (respectively, operator) spaces  $M(\psi)$  on  $[0, \infty)$  (respectively, on a semifinite von Neumann algebra). The symmetric functionals in [5,6] involve Banach limits, that is, singular translation invariant positive linear functionals  $L'$  on  $\ell^\infty(\mathbb{N})$ . We extend the construction of Dixmier in Definition 1.7 and Connes in Definition 5.2 (verified in Theorem 6.3) by extending the notion of Banach limits to  $C_b([0, \infty))$ .

The identification of the commutative specialization of (Connes-)Dixmier traces as singular symmetric functionals has some pivotal consequences. The established theory of Banach limits [10] and singular symmetric functionals on Marcinkiewicz spaces [4–6] can be applied to questions concerning the (Connes-) Dixmier trace, a central notion in Connes' non-commutative geometry [2]. Conversely, ideas in Connes' non-commutative geometry, such as measurability of operators [2, IV.2.β, Definition 7], lend themselves to generalization to abstract Marcinkiewicz spaces (Definitions 3.2 and 3.5). As a result, we have been able to present a new characterization of measurable operators (see Theorem 5.12, Remark 5.13 and Theorem 6.6).

The paper is structured as follows:

Section 1 introduces Banach limits, almost convergence (extending the notions of Lorentz [10]) and the theory of singular symmetric functionals on the Marcinkiewicz space  $M(\psi)$  defined by a concave function  $\psi$  [4,5]. The construction of singular symmetric functionals on  $M(\psi)$  [5] (Definition 1.6 below) is extended by Definition 1.7.

Section 2 introduces sufficient conditions to identify the singular symmetric functionals of [5] with those of Definition 1.7, see Theorems 2.3 and 2.7. A result in [5], on the Riesz semi-norm of a function  $x$  in a Marcinkiewicz space  $M(\psi)$  as the supremum of the values  $\{f(x)\}$  where  $\{f\}$  is a set of singular symmetric functionals on  $M(\psi)$ , is extended in Theorem 2.8.

Section 3 contains an analysis of various notions of a measurable element of a Marcinkiewicz space  $M(\psi)$ , introduced in Definitions 3.2 and 3.5, and their coincidence (Theorem 3.7 and Corollary 3.9, see also Theorem 3.14).

The results of Sections 2 and 3 concern singular symmetric functionals on  $M(\psi)$  parameterized by the set of strictly increasing, invertible, differentiable and unbounded

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