

# Physical and electrical properties of ZrO<sub>2</sub> and YSZ high-*k* gate dielectric thin films grown by RF magnetron sputtering

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Available online 11 September 2004

## Abstract

Thin films of ZrO<sub>2</sub> were deposited on p-Si(100) substrates using RF magnetron sputtering technique. To investigate the influence of the sputtering parameters, e.g., annealing temperature, different O<sub>2</sub>-flux, RF power and target to substrate distance on the physical and electrical properties of the as-grown films, systematic investigation using X-ray diffraction (XRD), Fourier transform infrared (FT-IR), scanning electron microscope and energy dispersive X-ray (SEM–EDX), C–V, and I–V were carried out in this work. Deposited ZrO<sub>2</sub> films had polycrystalline after annealing sample at high temperature. Their silicon oxide (SiO<sub>2</sub>) layers were formed between high-*k* film (i.e., ZrO<sub>2</sub> and YSZ) and Si substrate either after annealing samples at high temperature or introducing O<sub>2</sub>-flux the sputtering process step. The high-*k* thin films have to be deposited amorphous structure without SiO<sub>2</sub> interlayers. We also investigated the electrical properties of both the a-ZrO<sub>2</sub> and a-YSZ films prepared without O<sub>2</sub>-flux at room temperature with conditions of various RF power and target to substrate distance. The dielectric constant of amorphous YSZ was determined to be about 24 using metal–insulator–semiconductor (MIS) capacitor structure. The smallest leakage current density of the YSZ film grown at 150 W and at room temperature was obtained to be about 10<sup>−10</sup> at 1 V.

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**Keywords:** RF magnetron sputtering; ZrO<sub>2</sub> films; YSZ films; High-*k* gate dielectrics; Leakage current

## 1. Introduction

Recently, as metal oxide semiconductor field effect transistor (MOSFET) devices are scaled down to <100 nm, problems of conventional silicon oxide (SiO<sub>2</sub>) appeared. SiO<sub>2</sub> layer suffered from basic problem of high tunneling leakage current and reduced drive current due to low dielectric constant (*k*=3.9) with thickness decreased (<2 nm) [1,2]. Hence, high-*k* gate dielectrics such as Ta<sub>2</sub>O<sub>5</sub>, TiO<sub>2</sub>, HfO<sub>2</sub>, ZrO<sub>2</sub>, CeO<sub>2</sub>, Y<sub>2</sub>O<sub>3</sub> stabilized ZrO<sub>2</sub> (YSZ) [2–6] were studied to replace SiO<sub>2</sub>. Most of these materials, however, were not thermally stable directly on Si, due to the formation of metal silicides in the course of fabrication.

ZrO<sub>2</sub> and YSZ are one of the most attractive candidates due to its high-*k* values (~25 and ~27, respectively), wide band gap (approximately 5.1–7.8 eV) [7,8], and good thermal stability in contact with Si [9]. Due to their excellent properties, ZrO<sub>2</sub> and YSZ films have been extensively studied as gate dielectric [10]. Wang et al. [11] studied the 6-nm-thick epitaxial crystalline YSZ films with electrical equivalent oxide thickness (EOT) of 1.46 nm and found that the leakage current was about 1.1×10<sup>−3</sup> A/cm<sup>2</sup> at 1 V. In addition, Zhu and Liu [6] also studied the 6-nm-thick amorphous YSZ films with EOT=1.46 nm and obtained a leakage current to be about 7.58×10<sup>−5</sup> A/cm<sup>2</sup> at 1 V. Moreover, amorphous films exhibited isotropic electrical properties and can easily be deposited.

In this work, the influence of the sputtering parameter such as, O<sub>2</sub>-flux, annealing temperature, RF power and target-to-substrate distance (*D*<sub>ts</sub>) on physical and electrical properties of amorphous ZrO<sub>2</sub> and YSZ films that were

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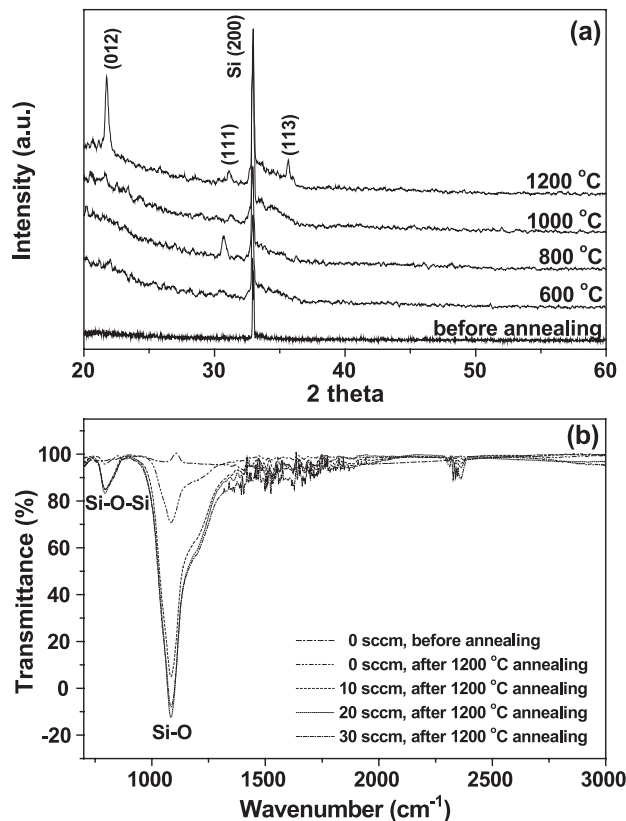


Fig. 1. (a) X-ray diffraction patterns of ZrO<sub>2</sub> thin films obtained with different annealing temperatures. (b) FT-IR spectra of ZrO<sub>2</sub> thin films obtained with different O<sub>2</sub> flux and annealing.

prepared at low temperature by RF magnetron sputtering method have been studied.

## 2. Experimental

Both ZrO<sub>2</sub> and YSZ films were prepared by the RF magnetron sputtering method. The purity of the ZrO<sub>2</sub> target was above 99.99% with a diameter of 1 in. The YSZ target were prepared with 8 wt.% Y<sub>2</sub>O<sub>3</sub>-stabilized ZrO<sub>2</sub>. The sputtering gas (Ar) with a purity of 99.99% were introduced to the chamber and controlled by the standard mass-flow controllers. The sputtering pressure was 32 mTorr and p type Si(100) wafer were used as substrates without heating. The substrate–target distance was changed from 30 to 50 mm and RF power was controlled from 80 to 200 W.

The structural properties of the films were characterized by X-ray diffraction (XRD) using Cu K<sub>α</sub> radiation (RIGAKU, D/MAX-2200 ultima). The interlayers between high-*k* film and substrate were analyzed with Fourier transform infrared (FT-IR, AVATAR 320) spectroscopy. The thickness and interlayers formation were observed using scanning electron microscope (SEM) and energy dispersive X-ray (EDX) (XL 30 ESEM-FEG). The electrical properties were measured by metal–insulator–semiconductor (MIS) capacitor structures with Al gate electrodes. The

area of the capacitors was  $2.4 \times 10^{-4}$  cm<sup>2</sup>. The I–V and C–V curves were measured using a HP4140B pico-A and a Bonton 7200 capacitor meter, respectively.

## 3. Results and discussion

Fig. 1(a) shows X-ray diffraction patterns of ZrO<sub>2</sub> thin films grown at room temperature without O<sub>2</sub>-flux. The RF power was 150 W and the annealing temperature varied from 600 to 1200 °C. Below 600 °C, there were no typical diffraction peaks, indicating an amorphous structure. Between 800 and 1000 °C, the films were mainly grown in the [111] orientation, while the crystal growth direction was changed to be [012], at an annealing temperature in the range of 1000–1200 °C. The polycrystal film could thus be obtained after annealing over 800 °C. With oxygen flux, the same tendency of X-ray diffraction pattern as Fig. 1(a) was observed (not shown). However, the relative intensity was decreased substantially, suggesting the poor crystallinity in these cases.

The FT-IR spectra of ZrO<sub>2</sub> films are shown in Fig. 1(b). The peaks at 794.6 and 1083.9 cm<sup>-1</sup> were mostly due to Si–O–Si and Si–O stretching of the silicon oxide layers. The

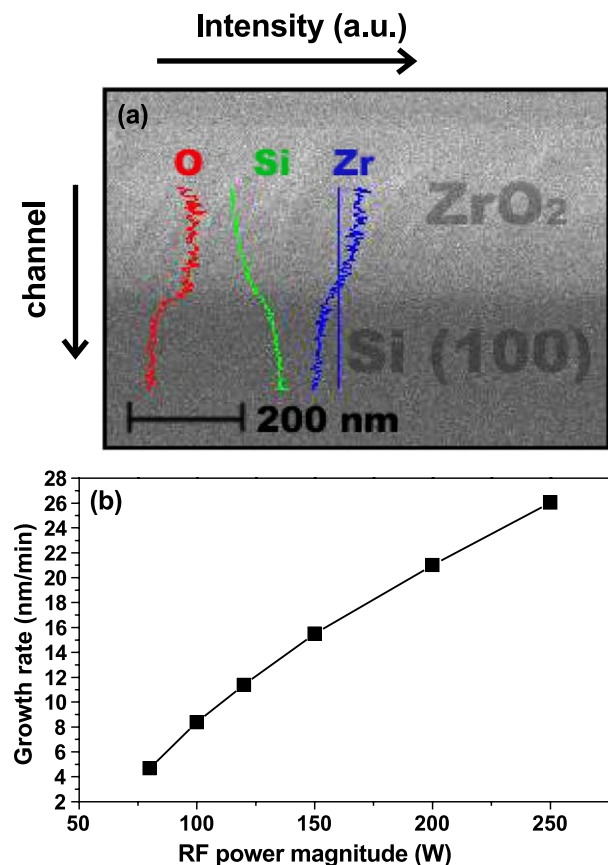


Fig. 2. (a) SEM and EDX image of a ZrO<sub>2</sub> thin film grown at RT and 150 W with oxygen flux of 20 sccm. (b) Variation of ZrO<sub>2</sub> film growth rates of with RF power magnitude (■).

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