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Use of the EQ-5D Instrument and Value Scale in Comparing Health States of Patients in Four Health Care Programs among Health Care Providers

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ABSTRACT

Objectives: The main objective of this article was to explore the use of the patient evaluation of health states in determining the quality of health care program provision among health care providers. The other objectives were to explore the effect of size and status of health care providers on patient-reported outcomes. Methods: The EuroQol fivedimensional questionnaire was used in four health care programs (hip replacement, hernia surgery, carpal tunnel release, and veins surgery) to evaluate patients' health states before and after the procedure, following carefully prepared instructions. Data were collected for a single year, 2011. The number of questionnaires filled by patients was 165 for hip replacement, 551 for hernia surgery, 437 for vein surgery, and 158 for carpal tunnel release. The data were analyzed using linear regression model and the EuroQol five-dimensional questionnaire value set for Slovenia. Differences between providers were determined using the Tukey test. Potential quality-adjusted life-years (QALYs) gained for all four programs were calculated for the optimal allocation of patients among providers. Results: There are significant differences among health care providers in the share of patients who reported positive

Introduction

Health care expenditure (as % of gross domestic product) in the European Union in 2011 ranged from 5.75 in Estonia to 11.19 in France [1]. In Slovenia, 8.55% of the gross domestic product was spent for health care in 2011 [1]. Although the percentage is high, it is not high enough to satisfy all the demand that is increasing because of demographic trends, development, and introduction of new health care technologies and wishes of globally informed patients. This is why it is of utmost importance to spend the money on those health care programs that ensure high value for money [2].

To spend available funds cost-effectively, we need to follow and measure the outcomes of health care services. Although this is easier to do in sectors in which it is possible to count the units of physical output such as car productions, this is more difficult in sectors such as health care in which counting of patients treated is done without considering the subjective value attached to the outcome. In Slovenia, clinical outcomes of health care are changes in health care status as well as in average improvement in patient-reported outcomes in all four programs. In the case of optimal allocation, each patient undergoing hip replacement would gain 2.25 QALYs, each patient undergoing hernia surgery would gain 0.33 QALY, each patient undergoing veins surgery would gain 0.36 QALY, and each patient undergoing carpal tunnel release would gain 0.78 QALY. **Conclusions:** The analysis exposed differences in average health state valuations across four health care programs among providers. Further data on patient-reported outcomes for more than a single year should be collected. On the basis of trend data, further analysis to determine the possible causes for differences should be conducted and the possibility to use this approach for measuring health care providers' performance and its use in contracting should be explored.

Keywords: carpal tunnel release, EQ-5D, health care providers, hernia, hip replacement, HRQOL, PROM, vein surgery.

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routinely collected and, above all, are focused on collecting data on death. None of these data led to information about the final outcome of treatment for the patient unless illness development leads to death. This means that data on outcomes in a form of change in health status are not collected for most patients. For most of the patients, their health status becomes better, but no data regarding the quantity and cost exist.

In 2009, the Health Insurance Institute of Slovenia (HIIS) decided to introduce the national tender for health care programs. The goal of the national tender was to increase access to health care services to patients by introducing price competition among health care providers for defined programs. To ensure the quality of health care programs, despite the anticipated lower prices, the measurement of quality of health care service provision was introduced simultaneously. Funds for the national tender were provided through already signed yearly contracts between health care programs in the year 2010 was decreased

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by 30% for each provider that carried out programs included in the national tender. To ensure the financial stability of the health care providers, this decrease could, in any case, not be higher than 3% of the total planned inpatient or outpatient budget of the provider. After the tender, the health care programs were redistributed among the providers, depending on their offer regarding the price and the date of provision of health care services [3].

Since the first national tender improved accessibility to health services (13% more services were provided for the same budget because of lower prices), the HIIS decided to repeat the national tender in 2010 also. The second tender included 10 health care programs, of which 4 health care programs were included in the first tender [3]. The programs that were repeated in the tender in 2010 were hip replacement, hemia surgery, vein surgery, and carpal tunnel release. The value of the hip replacement program amounted to 3.9 mio euro, hemia surgery to 1.3 mio euro, vein surgery to 0.7 mio euro, and carpal tunnel release to 0.12 mio euro [4].

Methods

In the process of carrying out the health care programs by health care providers, the HIIS demanded from the providers to provide clinical pathway or at least three indicators for measuring the quality of health care procedures. The definition of indicators was in 2009, for example, first year, left to the providers for the programs that were included in the tender for the first time. The data according to the self-defined indicators were sent to the HIIS for each patient in the health program in a national tender. Indicators had to cover the most critical phase of the procedure, and clinical issues, not only economic, should be reflected in the indicators. After analyzing the various indicators proposed by providers, the HIIS defined four common indicators for measuring quality and patient safety and made them obligatory to follow in 2010, again only for the part of the health care programs that were carried out under the national tender. In addition, the EuroQol five-dimensional questionnaire (EQ-5D) was added to the indicators to include a subjective valuation of health states.

In this article, only four programs that were carried out within the national tender for the second time were taken into account because of a unified set of indicators and collection of EQ-5D patient values.

Although the source of data for the first four indicators is the providers, the data for the last indicator come from the patients. Patient-reported outcome measurement (PROM) has gained its value in the last years and is a valid way of collecting information on the effectiveness of health care offered to patients within the health care system [5].

PROM is about comparing a pair of the same questionnaires that are filled out by the patient. The first questionnaire in a pair is filled out before the procedure and the second after the procedure. To gain an insight into the patient-reported outcomes, different questionnaires are being used. They could be divided into seven basic groups [5], and they differ in content as well as according to their purpose and use. In our case, we used the EQ-5D, which belongs to the group of questionnaires that measure health state values and utility weights. They aim to elicit the preferences of the population or values that individuals give to defined health states. Such a value can be in the EQ-5D expressed in a single index. Such an expression is very useful because it enables the comparison of various health states across different health care programs and can also be used in economic analyses in cost-effectiveness comparisons across illnesses. Such measures are usually very widely defined because they must include all health states and are therefore sometimes criticized as being unresponsive to changes in health status [5-7].

The EQ-5D is built of five dimensions: mobility, self-care, usual activities, pain/discomfort, and depression/anxiety. Each dimension is divided into three (or five in the EQ-5D-5L) levels. These are levels on which patients have no problems, levels on which patients have some problems, and levels on which patients have extreme problems. For each dimension, the patient chooses a level, and consequently, a five-digit patient profile is obtained, for example, 12312 (patient has no problems with mobility, has some problems with taking care of self, has extreme problems with usual activities, has no pain or discomfort, and has some problems with depression/anxiety). There are 243 possible patient profiles in the EQ-5D-3L definition of health states and for each health state value if calculated [8]. The values are calculated in a separate study using one of the possible techniques for preference elicitation (time trade-off, standard gamble, visual analogue scale, or discrete choice experiment). The Slovenian value scale was calculated on the visual analogue scale basis in 2011 [9]. For its calculation, the spatial econometric method was used in which one of the independent variables was space, through which the issue of contextual bias was eliminated. Such bias is present in most of the European value scales and remains unsolved [10]. The EQ-5D is validated in Slovenian language [11].

In the national tender, all the providers that acquired any health care program in the tender collected patients' valuation of their own health states before and after the surgery. The patients were given the EQ-5D in a paper format at the point of coming to surgery as well as at the point of the first control visit after the surgery. The purpose and instructions for filling out the questionnaire were enclosed. The questionnaires were then returned to the nurse, who was responsible for keeping a pair of questionnaires together and sent them to the HIIS separately for each health care program. The questionnaires were anonymous, and the individuals could not be identified. The number of questionnaires filled by patients was 165 for hip replacement, 551 for hernia surgery, 437 for vein surgery, and 158 for carpal tunnel release. Data were collected by the HIIS. The data were then entered into an MS Excel spreadsheet and statistically analyzed in R. Values of health states for each health care program among health care providers were compared as reported by patients. In a linear regression model, variables that could affect the improvement in the health states of the patients were the status of the provider (public/private) and the size of the provider, measured in the number of procedures in the selected health care program in 2010. Sex and age of patients were controlled for as well as the initial value of the health state (before the procedure). We assumed that the improvement in health state values could also differ according to the initial value of the health state before the procedure. It is possible that the patient would see the improvement in his or her own health state differently in a case that before the procedure, his or her health state was very bad in comparison to a case when his or her health state before the procedure was not that bad [2]. Comparison of average changes in health state among providers was conducted using the Tukey test. If we take into account patients' age and sex, it is possible to calculate quality-adjusted life-years (QALYs) gained because of optimal patient allocation. QALYs gained for each patient were determined by multiplying expected life-years (given the patient's age and sex) by the increase because of the patient's optimal allocation and applied a discounting factor of 0.03 per annum. Data on life expectancy were obtained from the Statistical Office of Slovenia [12].

Results

Results of the analysis [13] indicate that health state values assigned from the value set provide better prediction of the

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